



STAR Center for Satellite
Applications and Research
formerly ORA — Office of Research and Applications

Development of physical retrieval algorithm for clear sky atmospheric profiles from SEVIRI, GOES Sounder and ABI infrared radiances

Jun Li

Cooperative Institute for Meteorological Satellite Studies
University of Wisconsin-Madison, Madison, WI 53706, U.S.A.

Jun.Li@ssec.wisc.edu, <http://www.ssec.wisc.edu/~jli>

Thanks to many CIMSS, STAR and EUMETSAT collaborators

28 November 2007

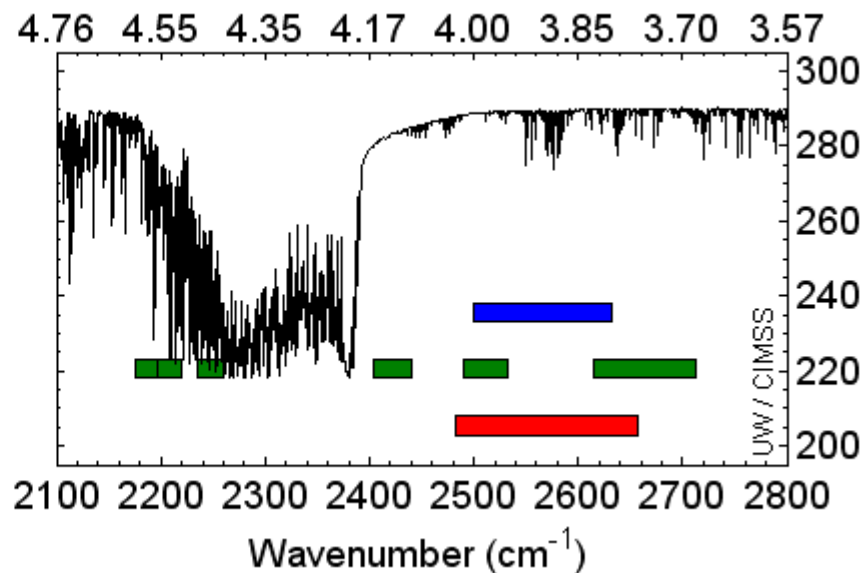
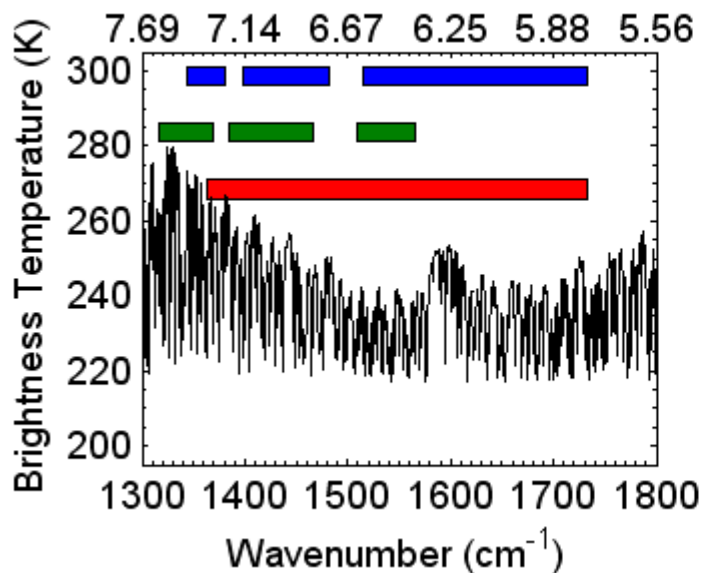
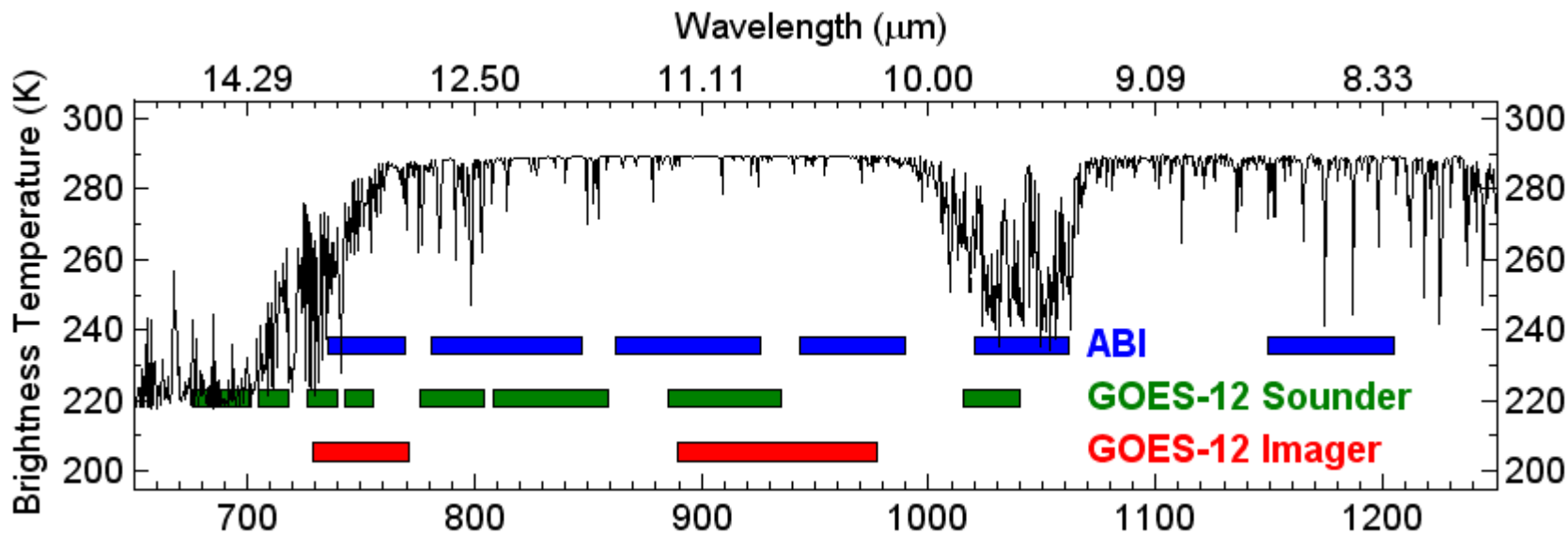
CDOP NWC SAF Workshop on Physical Retrieval of Clear Air
Parameters from SEVIRI Agenda

Outline

- **Introduction to GOES Sounder and ABI IR bands**
- **Algorithm development**
- **Results and validation**
- **Applications**

Considerations

- Build upon the legacy operational GOES Sounder SFOV (Single Field of View) sounding algorithms for soundings and related nowcasting products
- Combining forecast information and IR radiances
- Incorporate temporal continuities into processing
- Better handling surface IR emissivities

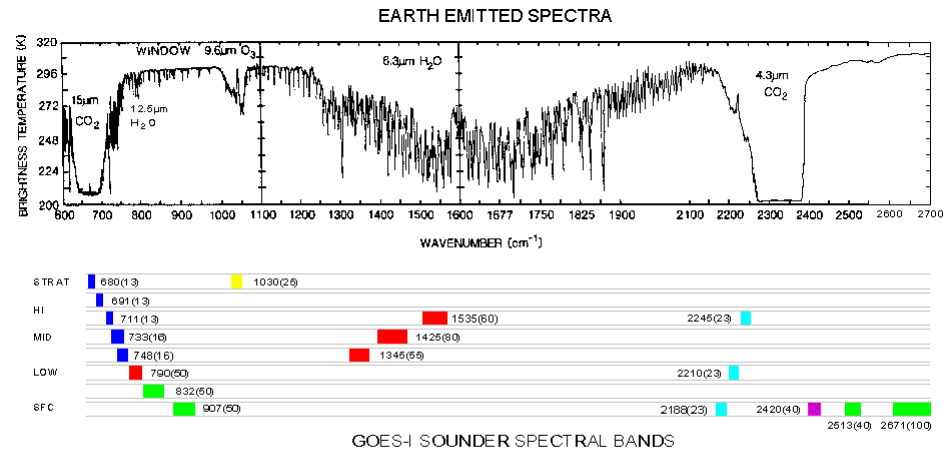
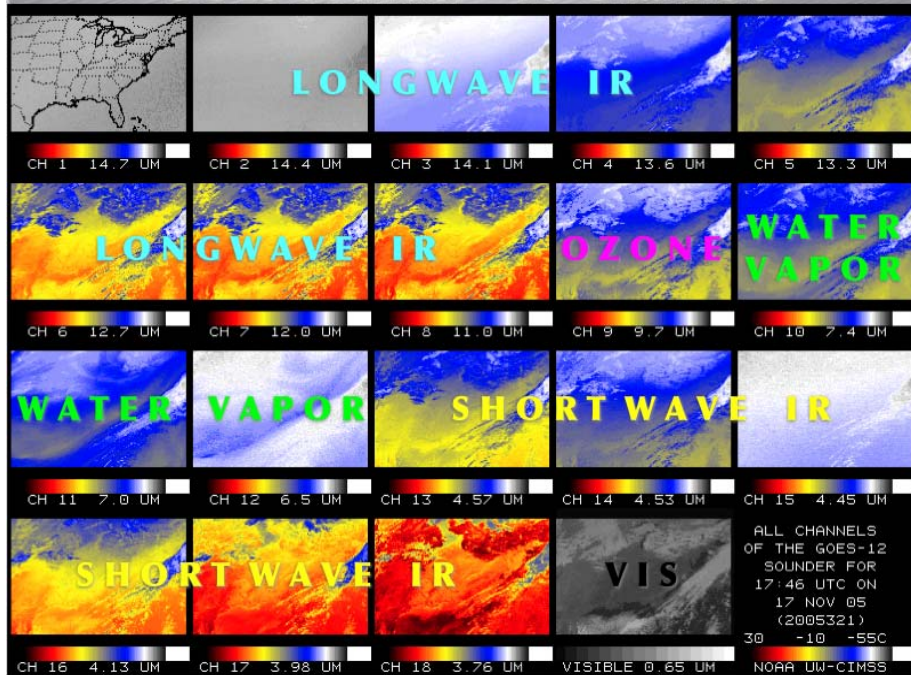


ABI (blue) and current GOES sounder (green) spectral coverage compared showing a high spectral resolution brightness temperature spectrum (black). ABI has few bands for upper level temperature. (Figure from Tim Schmit at STAR)

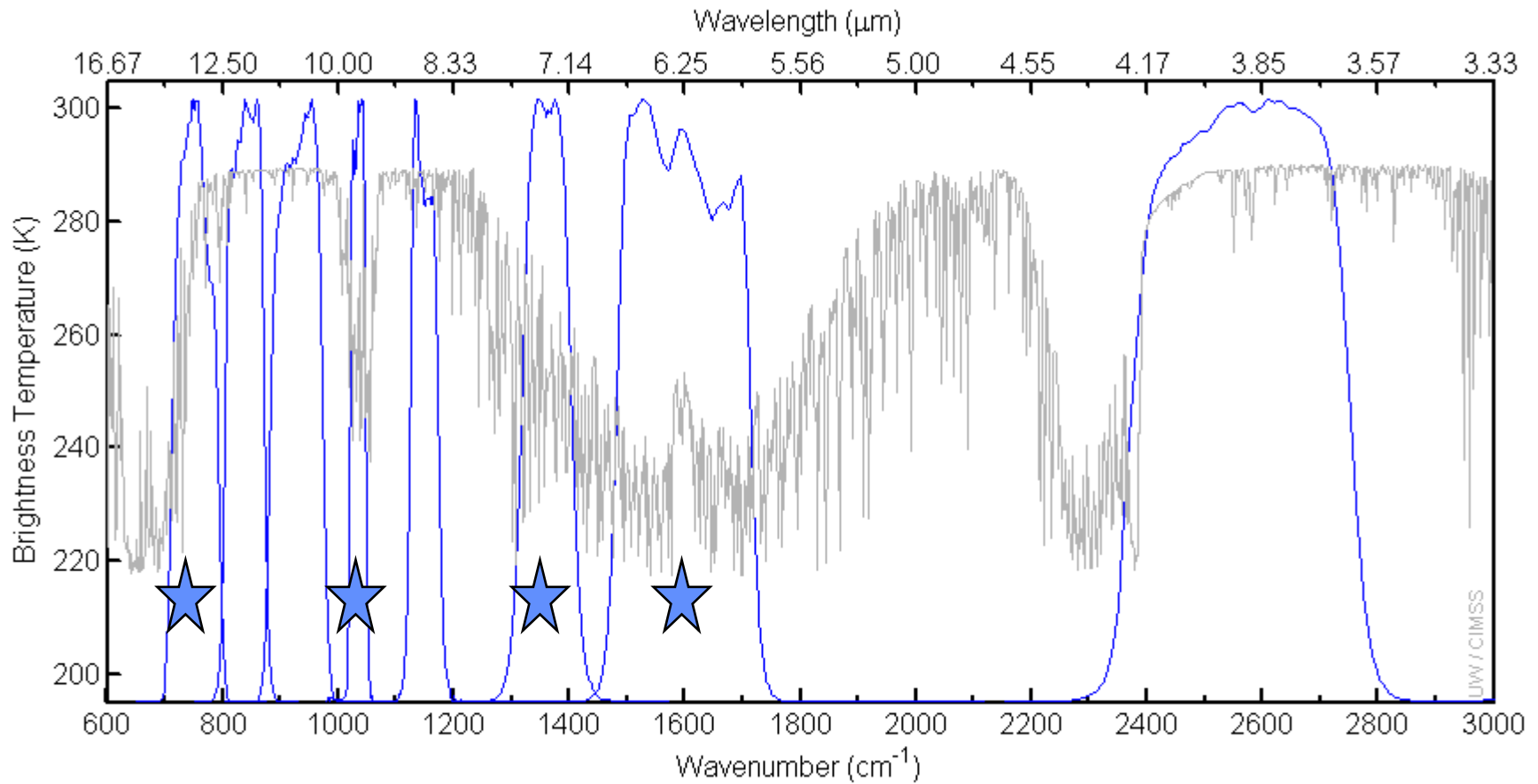
Description: GOES-I(8)/P Sounders

- 19 channels (18 Infrared; 1 Visible)
- Spatial resolution: ~ 10km
- Hourly scanning over CONUS and adjacent waters
- Products include standard imagery and derived, Level-2 products

GOES Sounder - 19 Channels



COOPERATIVE INSTITUTE FOR METEOROLOGICAL SATELLITE STUDIES



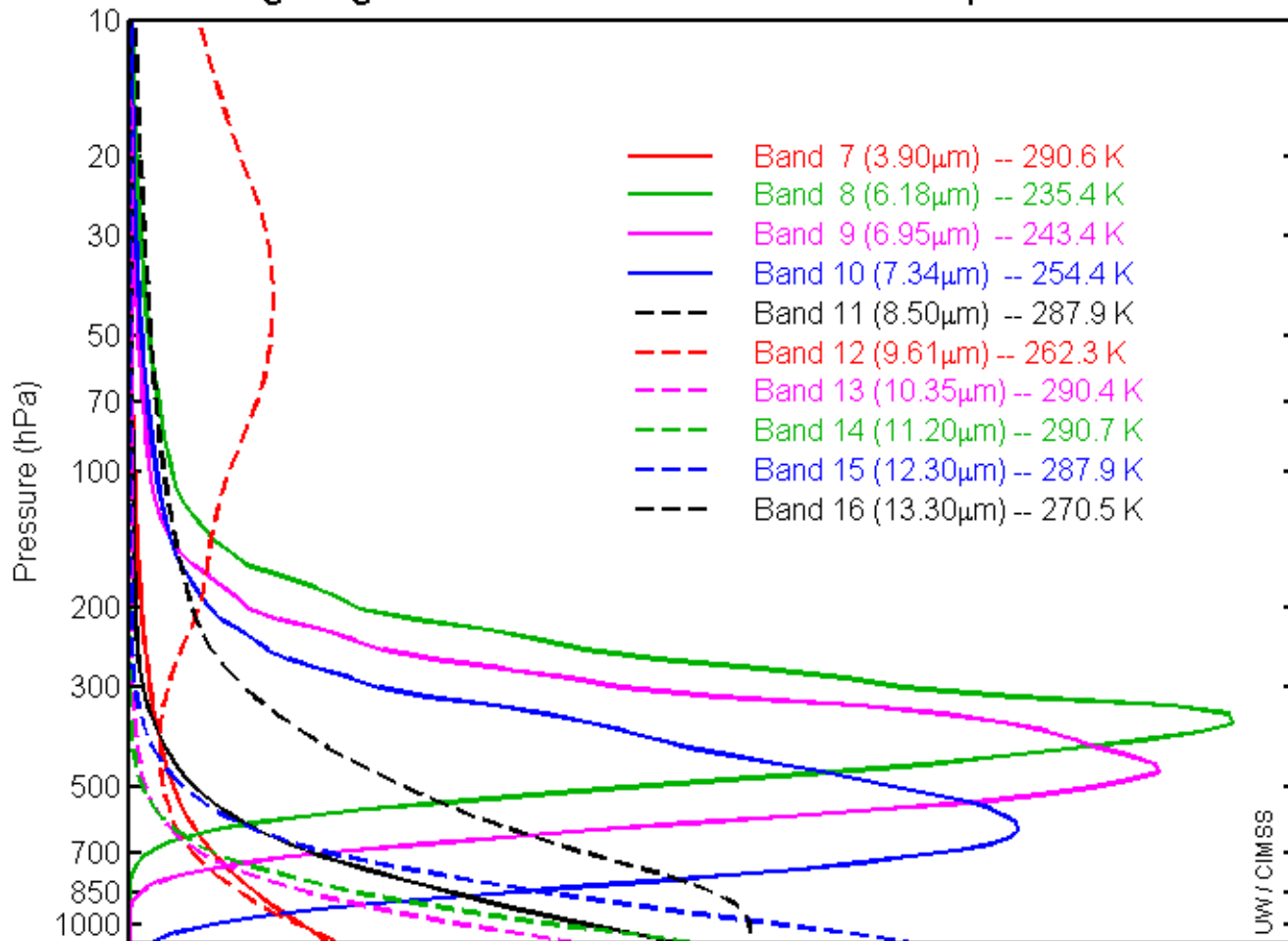
SEVIRI (blue) has only four sounding bands (one CO₂, one O₃ and two H₂O) (Figure from Mat Gunshor at CIMSS)

ABI IR Bands

	7	3.80–4.00	3.90	2	Surface and cloud, fog at night, fire, winds
★	8	5.77–6.6	6.19	2	High-level atmospheric water vapor, winds, rainfall
★	9	6.75–7.15	6.95	2	Midlevel atmospheric water vapor, winds, rainfall
★	10	7.24–7.44	7.34	2	Lower-level water vapor, winds, and SO ₂
	11	8.3–8.7	8.5	2	Total water for stability, cloud phase, dust, SO ₂ rainfall
★	12	9.42–9.8	9.61	2	Total ozone, turbulence, and winds
	13	10.1–10.6	10.35	2	Surface and cloud
	14	10.8–11.6	11.2	2	Imagery, SST, clouds, rainfall
	15	11.8–12.8	12.3	2	Total water, ash, and SST
★	16	13.0–13.6	13.3	2	Air temperature, cloud heights and amounts

GOES-R ABI Weighting Functions

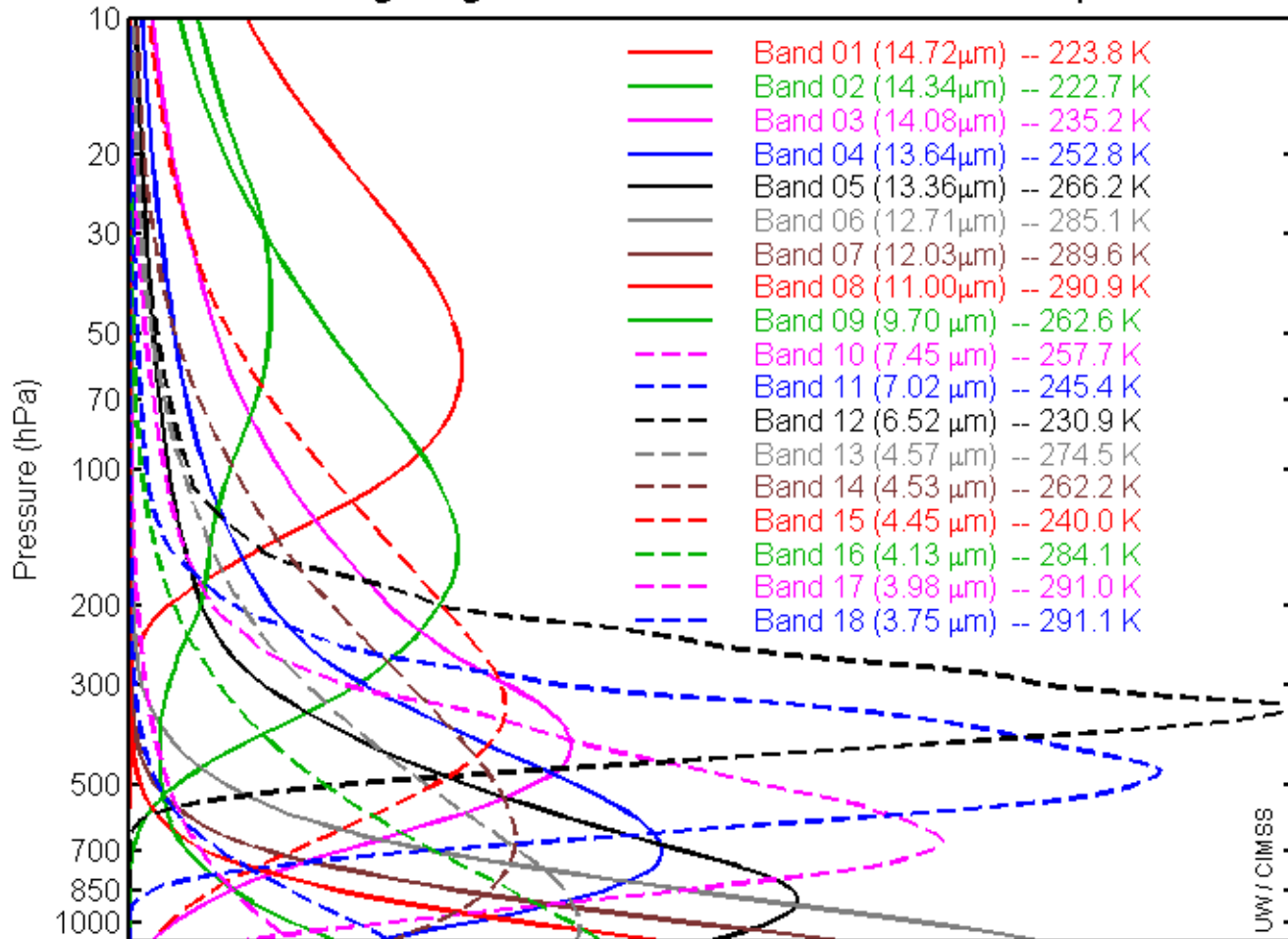
ABI Weighting Functions: US Standard Atmosphere / Nadir View



ABI has only 1 CO₂ band, so upper-level temperature will be degraded compared to the current sounder

GOES-13 Sounder WFs

GOES-13 Sndr Weighting Functions: US Standard Atmosphere / Nadir View



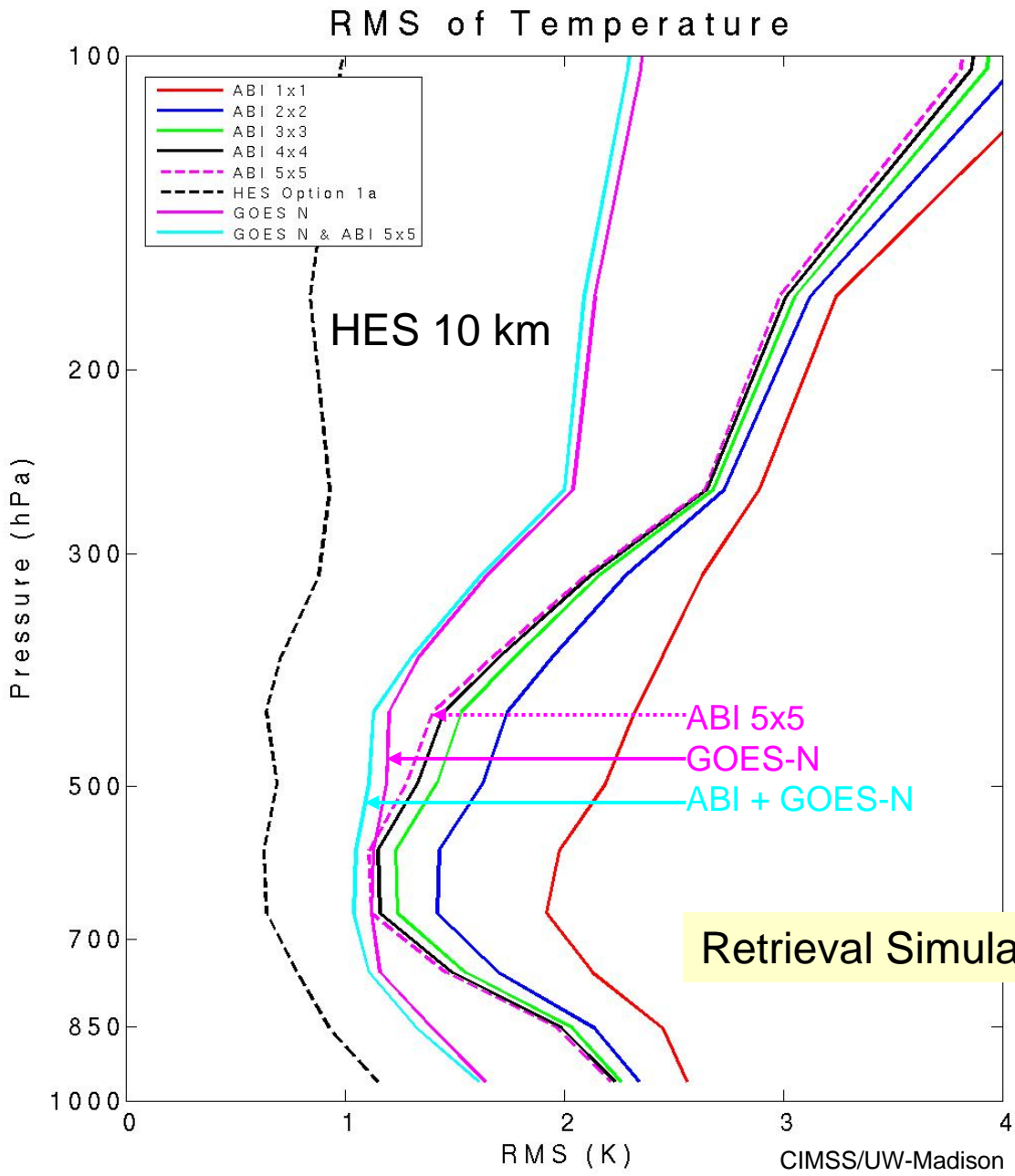
The GOES-N sounder has 5 CO₂ bands, and more SW bands than ABI

Question

- Can ABI be used to continue the GOES Sounder legacy product before we have hyperspectral IR sounding system on GEO orbit ?

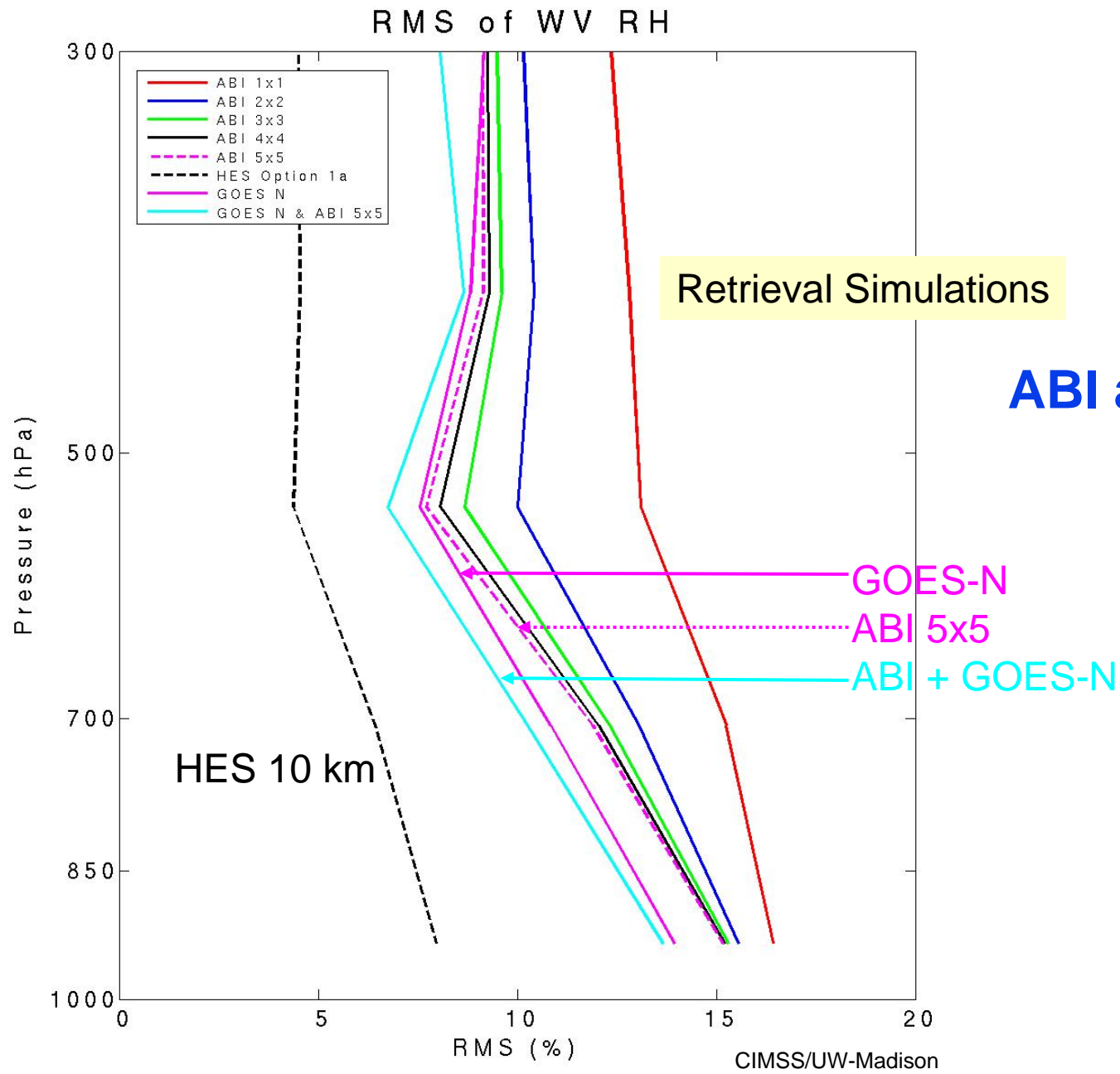
Near global simulation on ABI, GOES Sounder and HES alone

- ABI alone
- GOES Sounder alone
- HES alone



ABI alone !

Retrieval Simulations

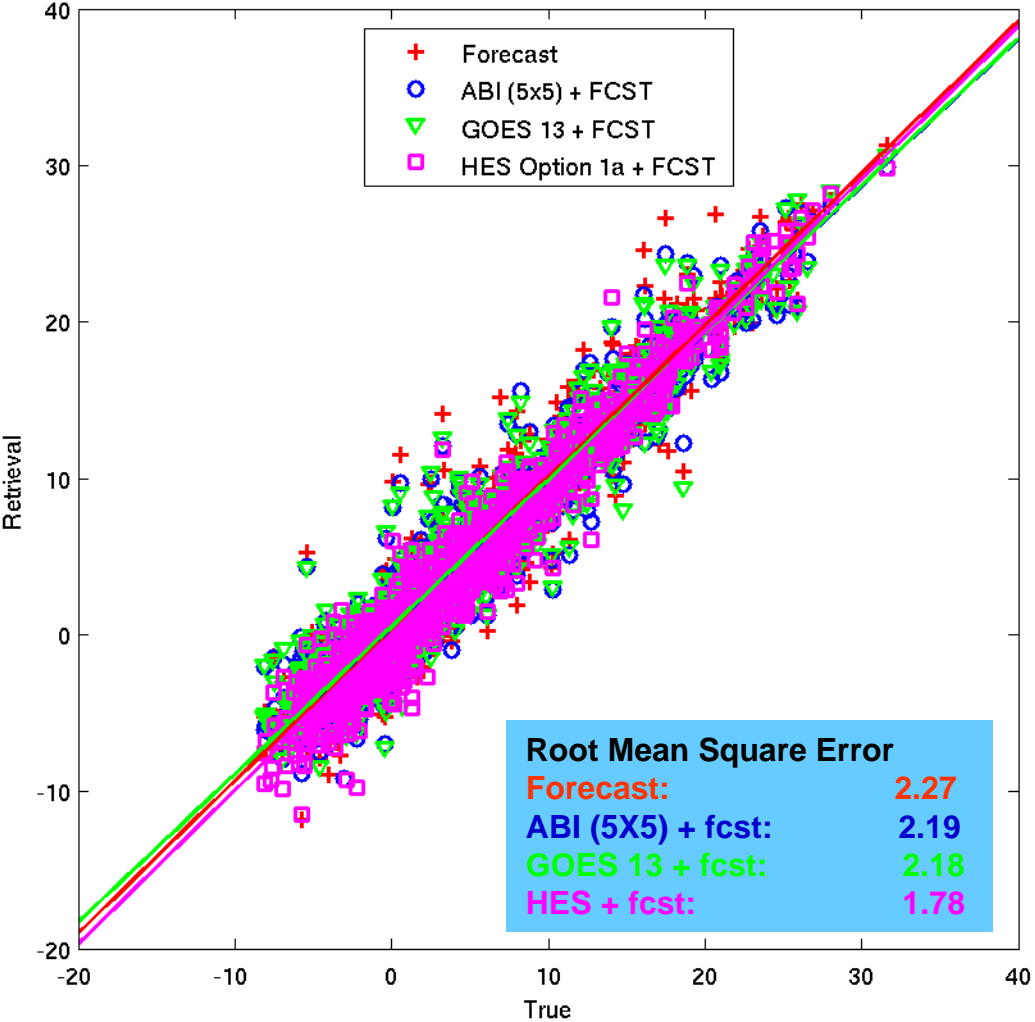


Regional simulation (using forecast information)

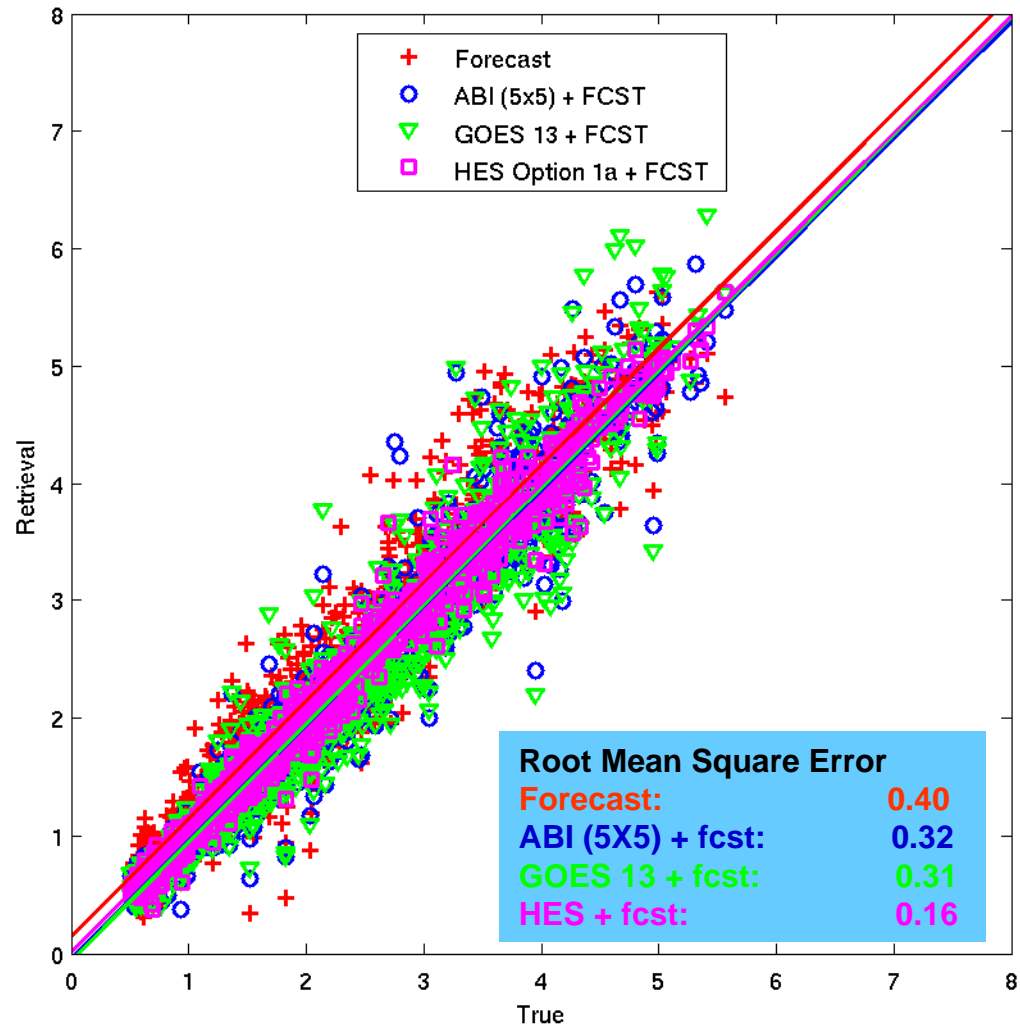
(update on 07 March 2007)

- Using time/space collocated RAOB/Forecast over CONUS
- HES end formulation assumption, GOES-13 (for current Sounder class), and ABI were used in simulation. PORD noise were used for HES and ABI,
- Total precipitable water (TPW) and Lifted Index (LI) are used for performance analysis

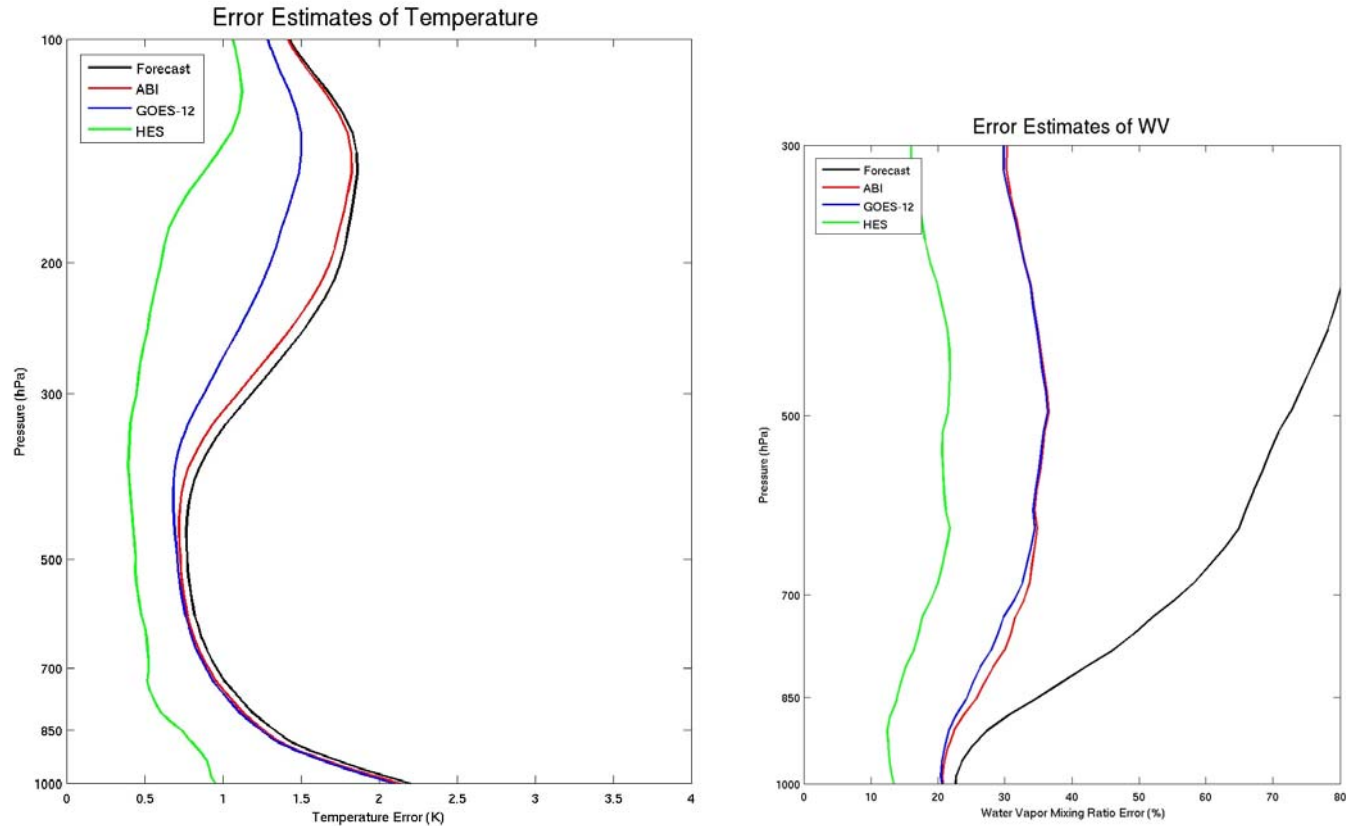
Lifted Index



TPW



Error Analysis



Temperature (left panel) and water vapor mixing ratio (right panel) background error covariance matrix from forecast model, \mathbf{B} , and analysis error covariances matrix, \mathbf{A} , with ABI, GOES-12 Sounder and HES final formulation.

Summary of Simulations

- ABI/SEVIRI alone temperature is degraded significantly from GOES Sounder alone, ABI alone moisture has comparable information of GOES Sounder alone
- ABI/SEVIRI + forecast and GOES Sounder + forecast have similar precisions on temperature, moisture profiles, TPW, LI
- Both GOES Sounder and ABI/SEVIRI has significant less temperature and moisture information than HES like hyperspectral IR sounder

The ABI can provide *continuity* of current Sounder products

– Operational products –

Product	Temporal /Latency	Spatial	Accuracy	Overall	Comments
Radiances	ABI ~ 20X faster	Comparable (when averaged)	Comparable	Comparable	Only 1 CO2 band on ABI (18 vs 10 IR)
TPW/LI Skin Temp	ABI ~ 20X faster	Comparable (when averaged)	Sounder more precise	Comparable	
Profiles	ABI ~ 20X faster	Comparable (when averaged)	Sounder more precise	Comparable	Worse upper-level T and lower-level moisture

- For continuity only

ABI better than
N Sounder

ABI comparable to
N Sounder

ABI worse than N
Sounder, but acceptable

ABI worse than N
Sounder, unacceptable

(Slide from Tim Schmit)

**Much improved
spatial coverage (and
hence total product
latency) with ABI over
the current Sounder**

**Current GOES Sounder
coverage in one hour**

ABI in 5 minutes

Cloud Top Pressure

- CLEAR - 900 800 700 600 500 400 300 200 MB

GOES-12 IMAGER

CLOUD TOP PRESSURE

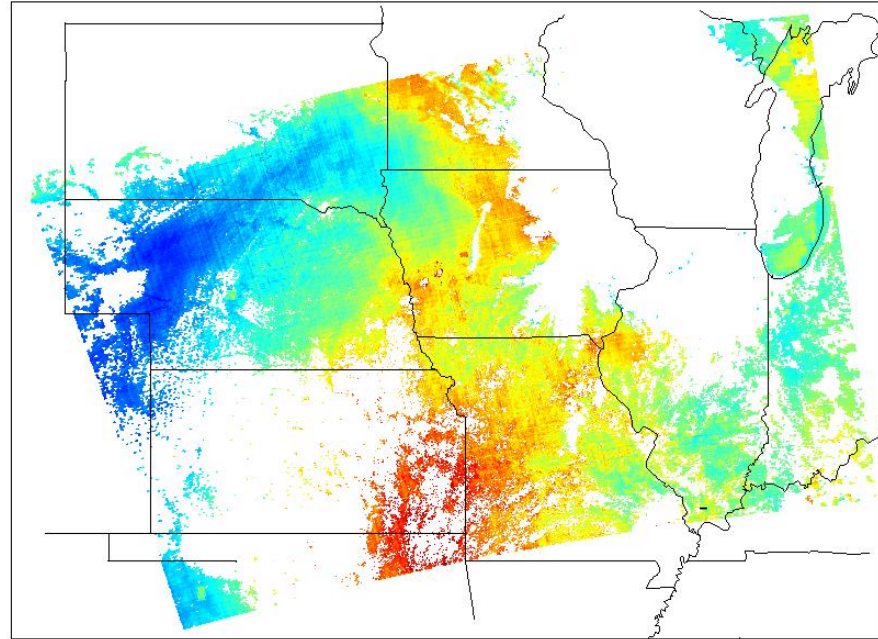
3 MAR 04

15:00UTC

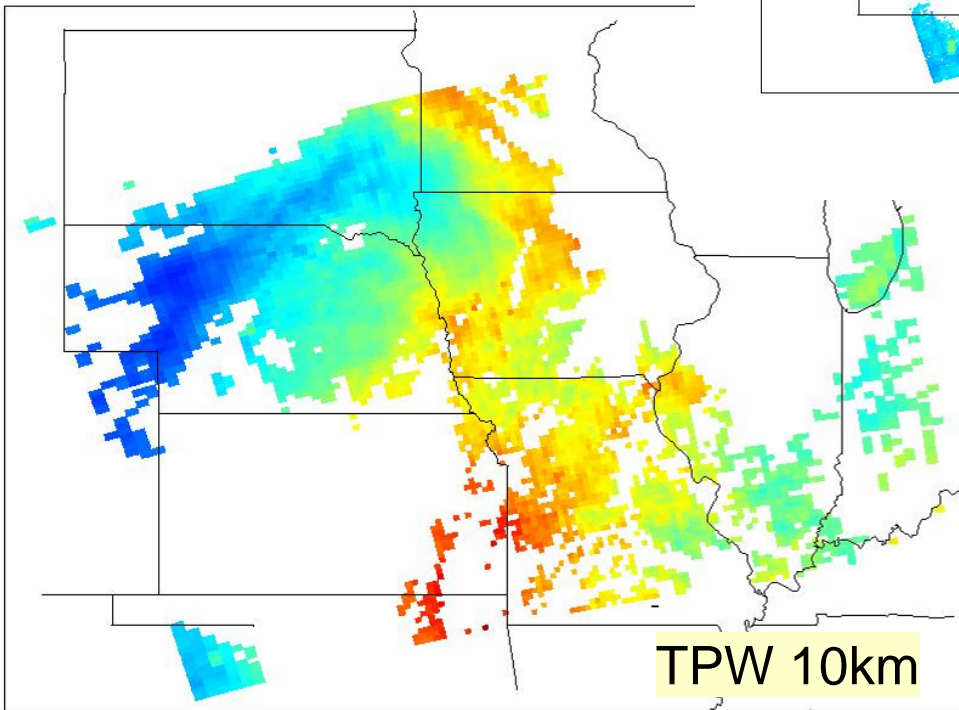
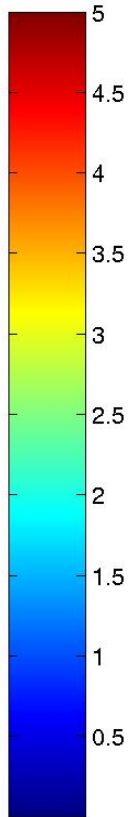
CIMSS

Improved spatial resolution with ABI over the current Sounder

2km mean Total Precipitable Water for 80% clear sky -- July 20, 2002, 19:15-19:25 UTC

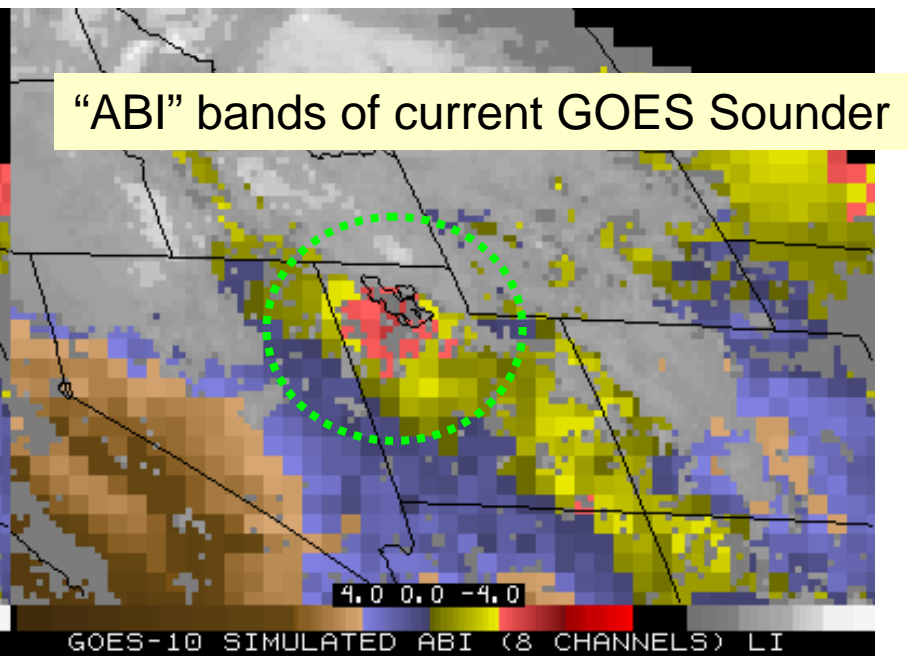
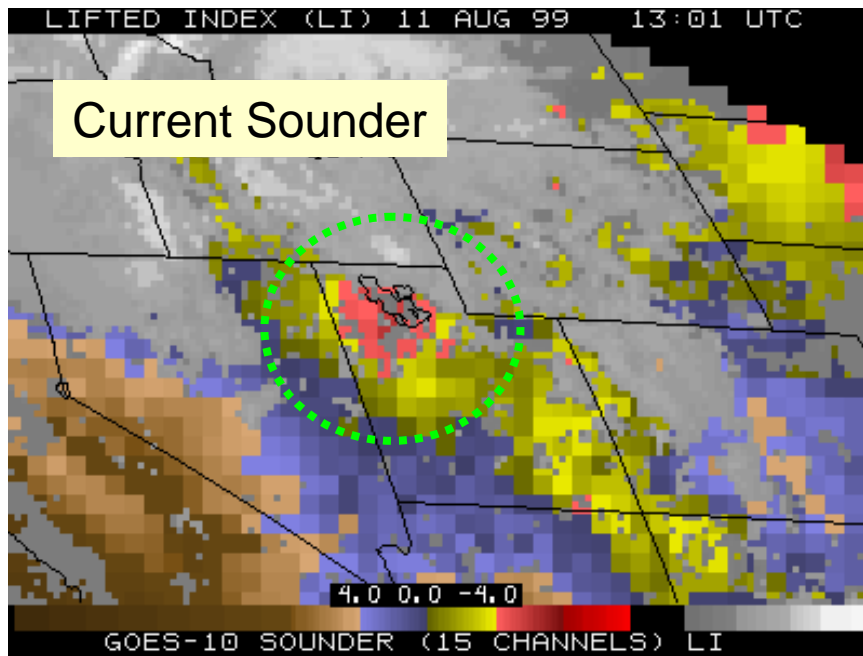


TPW 2km



TPW 10km

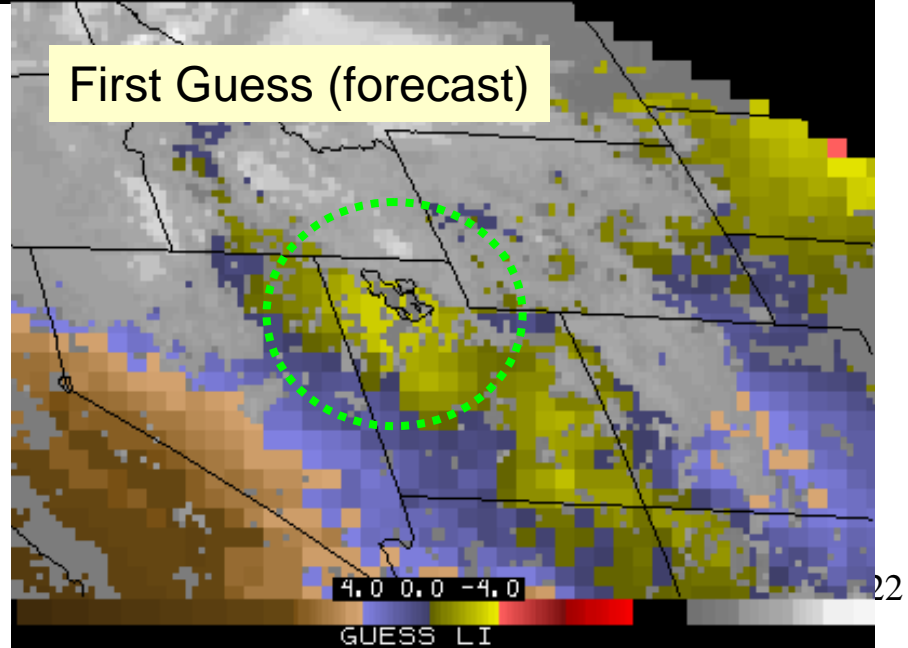
Lifted Index simulations using GOES sounder



LI from Current
GOES Sounder
(GOES Sounder
and forecast)

LI from "ABI" from
GOES Sounder (via
channel selection)
and forecast

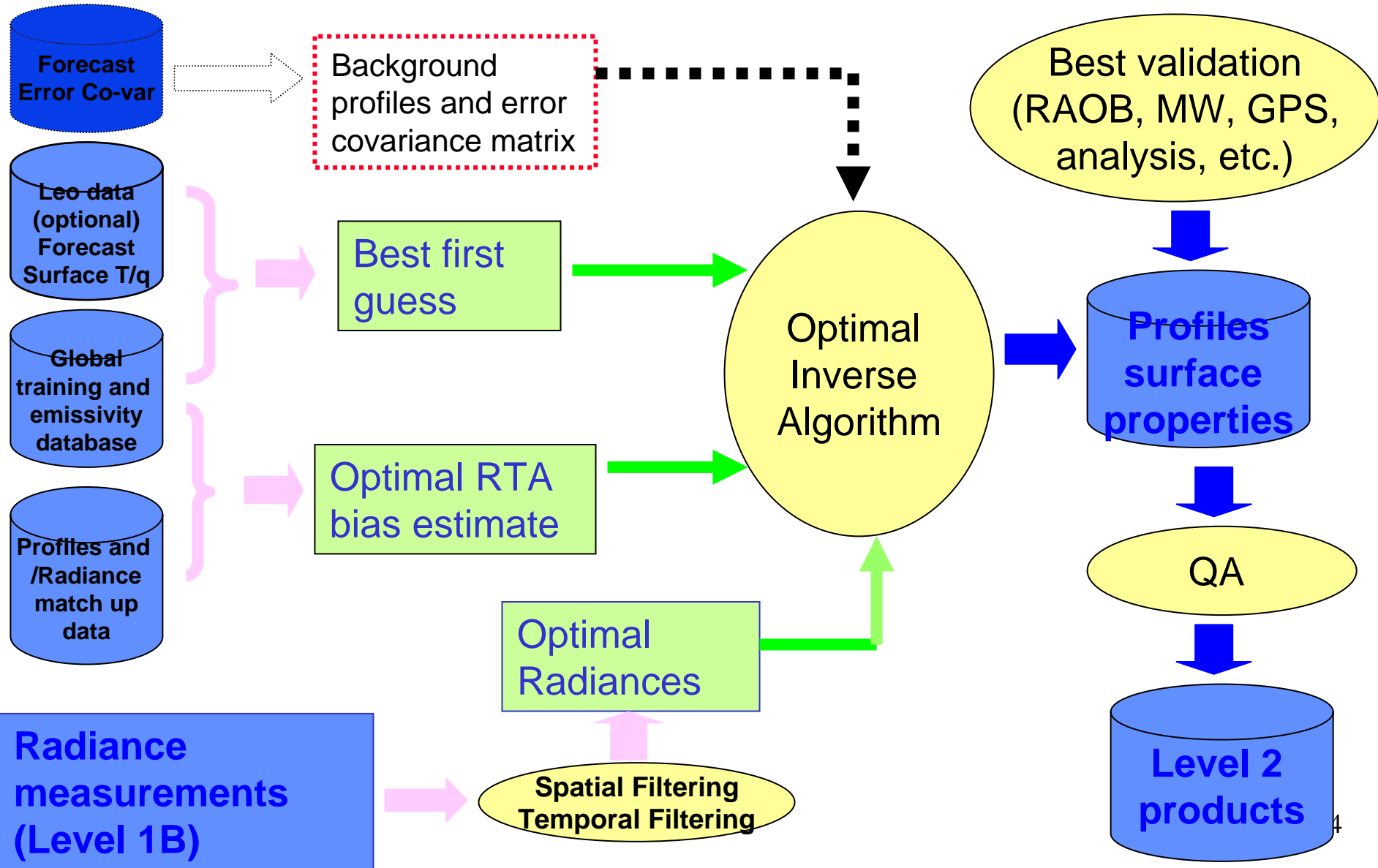
LI first guess (from
forecast)



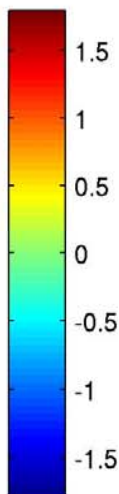
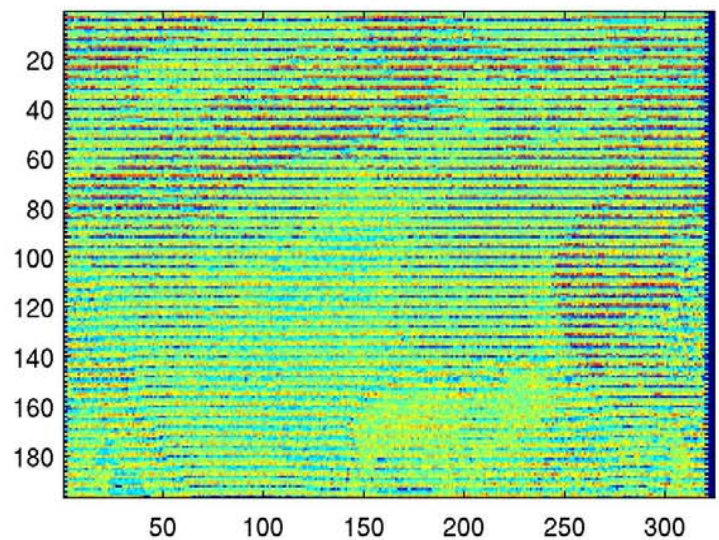
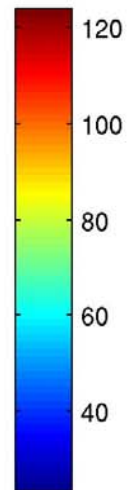
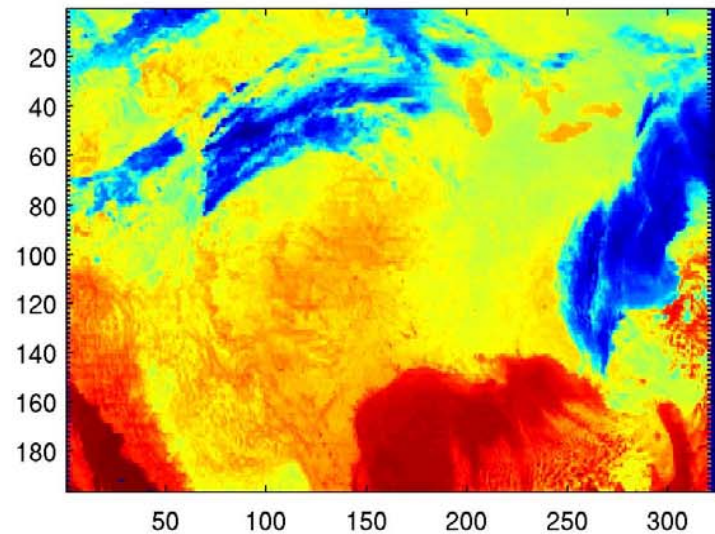
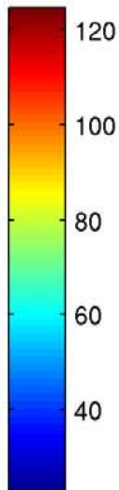
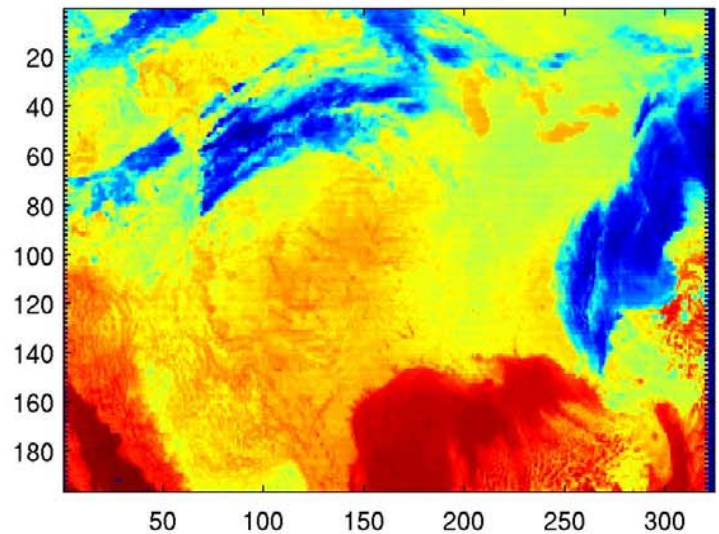
Algorithm development/improvement

- Noise filtering for radiances – spatially and temporally
- Handling surface emissivities
- Physical inverse of radiances
- Using time continuity

CIMSS Legacy Atmospheric Profile Processing Overview



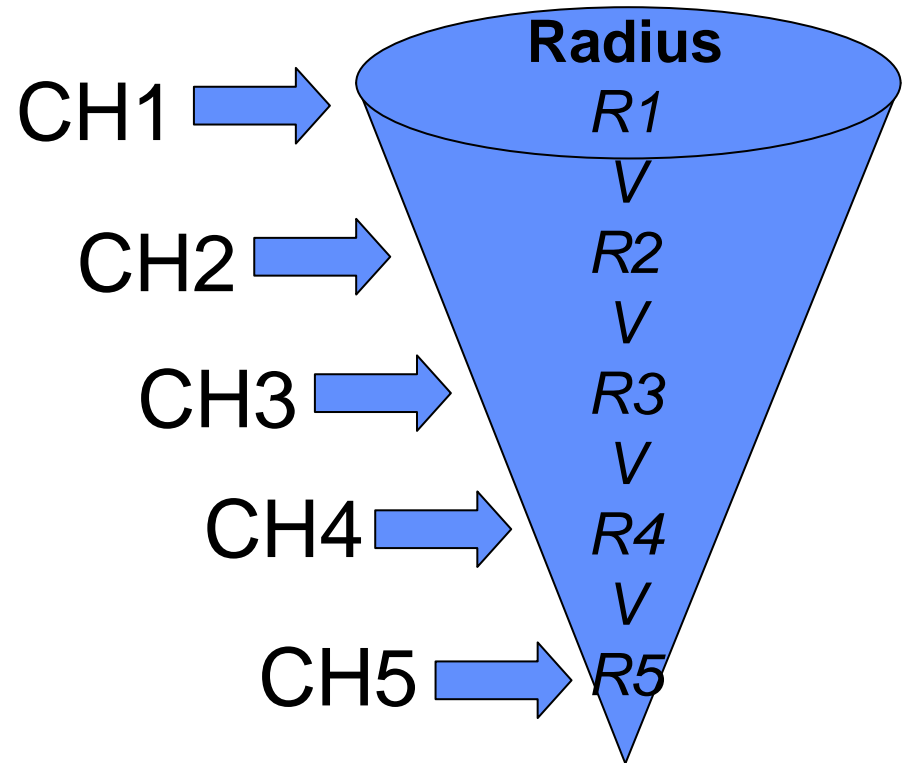
Noise filtering - destripping



Example of GOES-13 band 7 radiances: before destripping (upper left), after destripping (upper right), and the differences (lower left)

Noise filtering - inverted cone idea from Paul Menzel

- Weighted averaging
- Closer FOVs have more weights
- Averaging area increases for channels peaking in the upper atmosphere

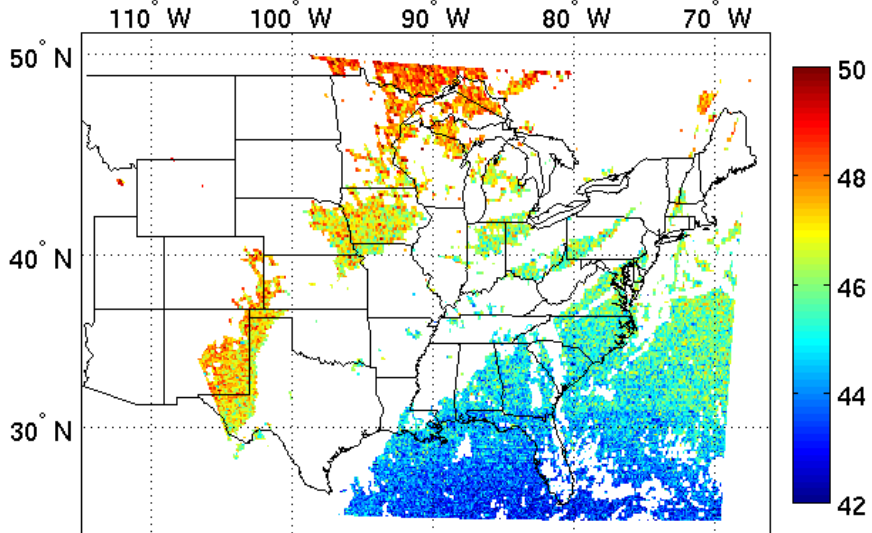


How to evaluate the results

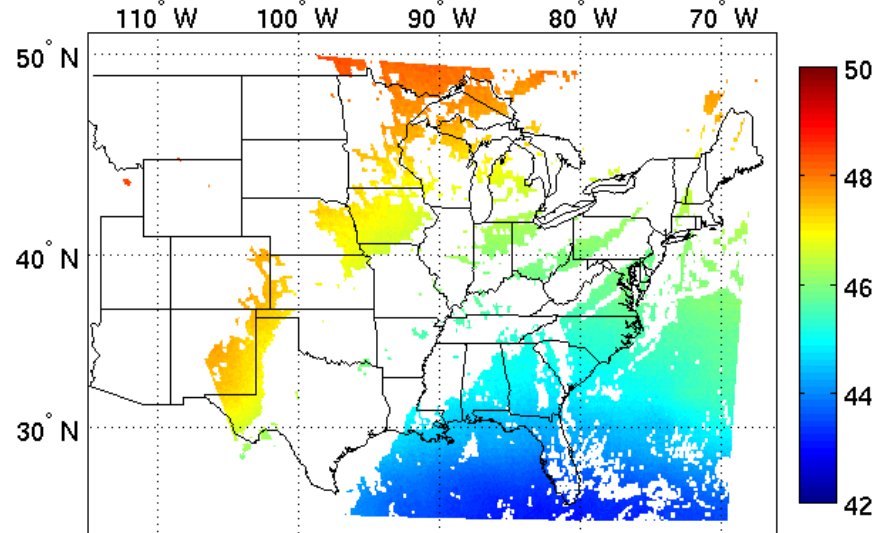
- Compare the filtered and unfiltered
 - » The difference image should have a spatially evenly distributed noise pattern
 - » The difference should have a zero mean and comparable STD as the instrument's noise specifications
- Noise is better viewed on channel difference (close channels only) image, so after the filtering
 - » The gradient of signals should be preserved
 - » The random noise should be reduced

Channel 1 (14.72 μm)

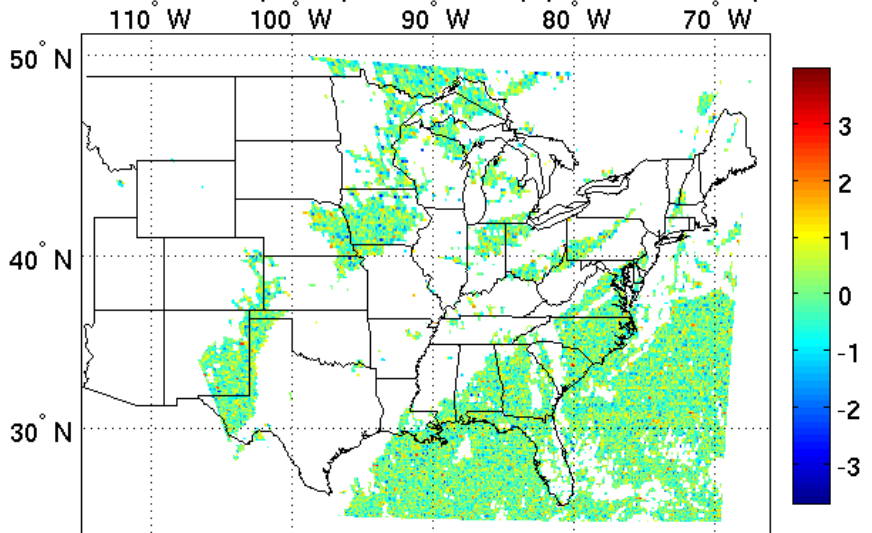
Ch 1 radiance ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) before filtering



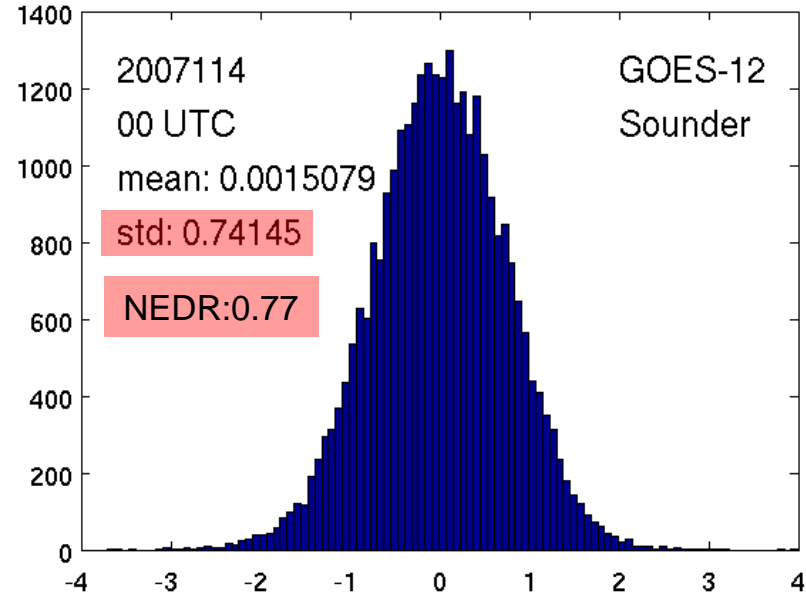
Ch 1 radiance ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) after filtering



Difference ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) (after - before)

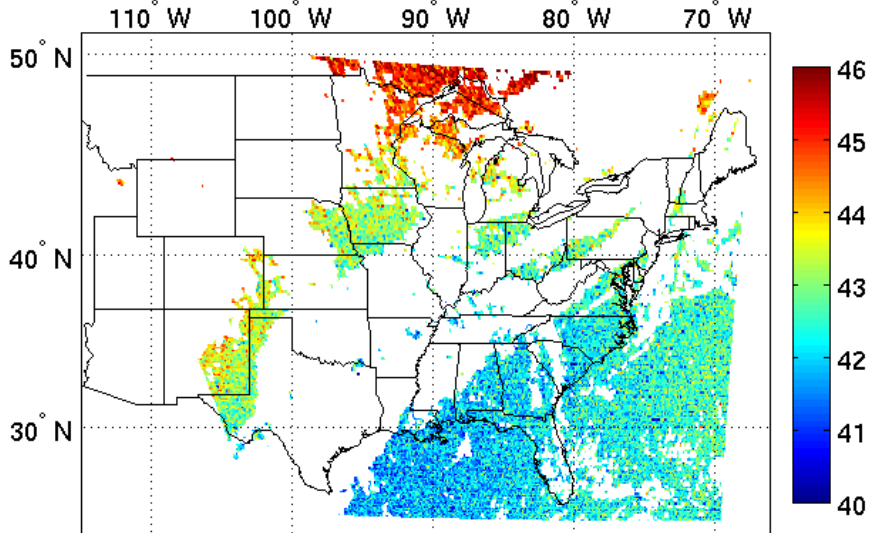


Histogram of difference

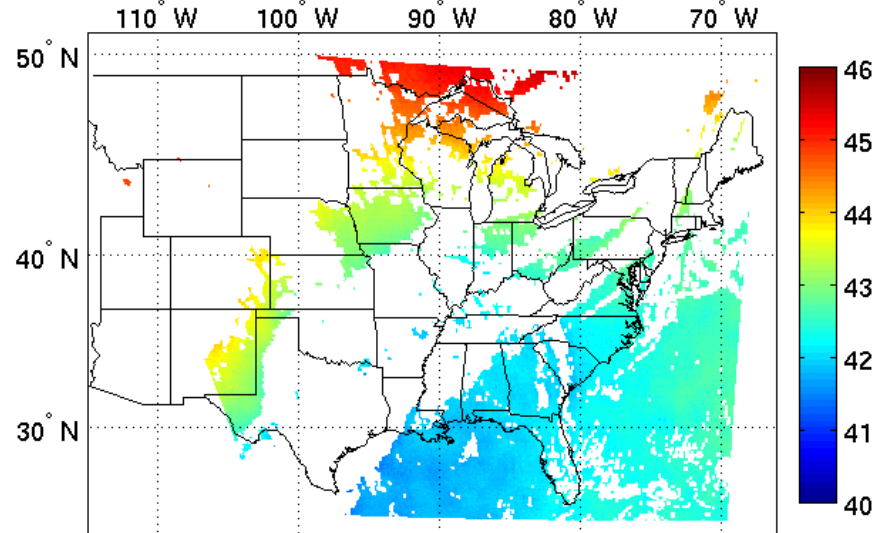


Channel 2 (14.34 μm)

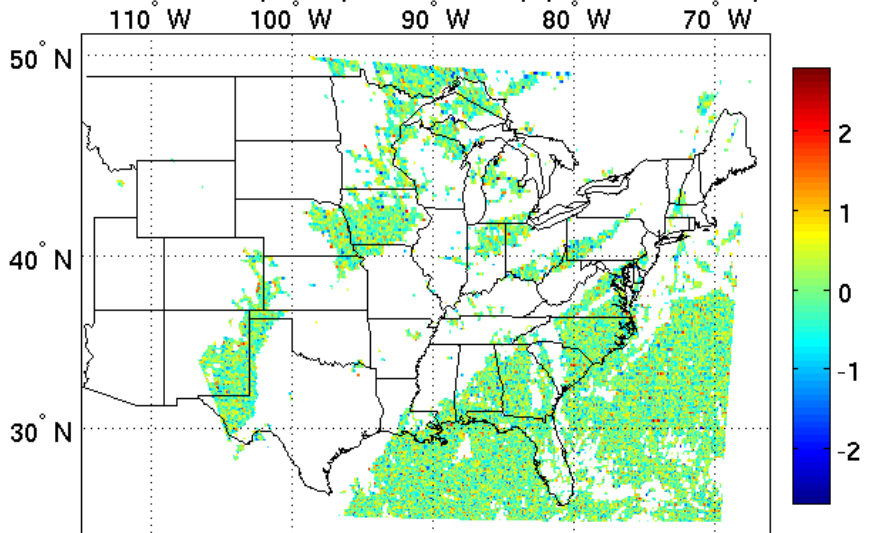
Ch 2 radiance ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) before filtering



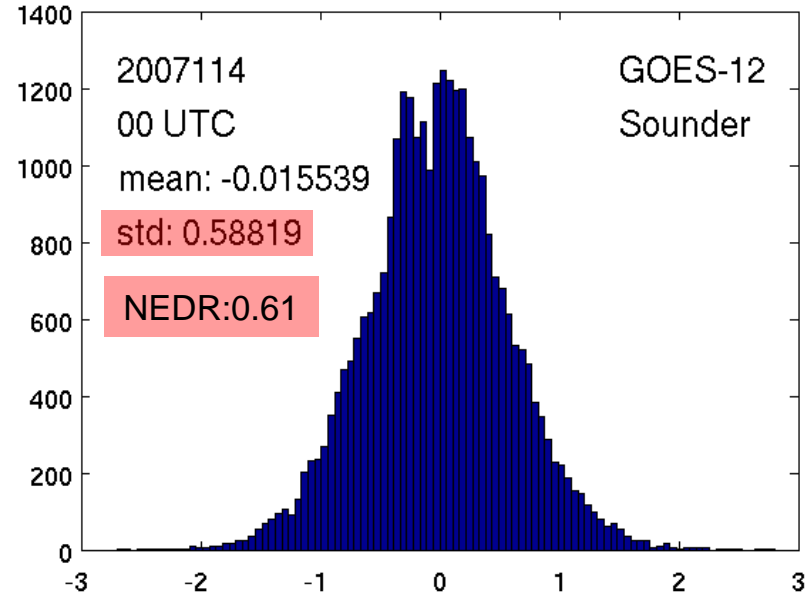
Ch 2 radiance ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) after filtering



Difference ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) (after - before)

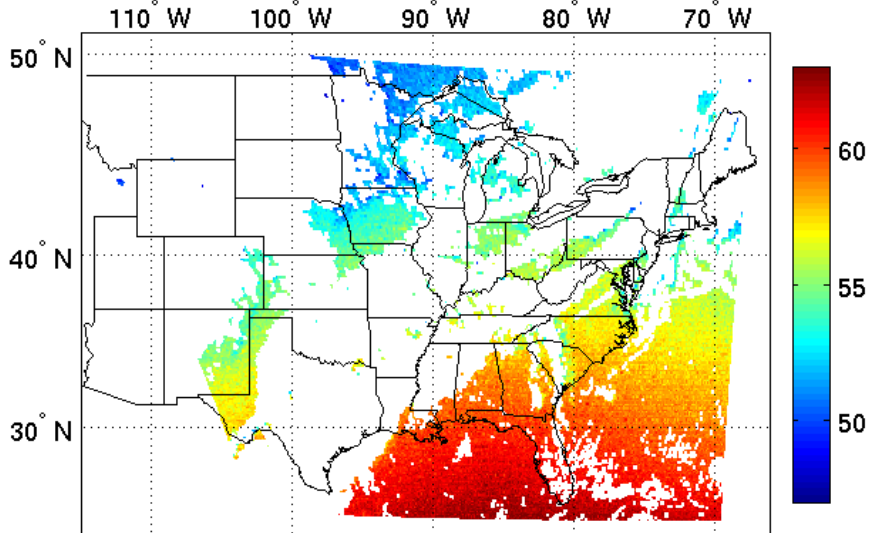


Histogram of difference

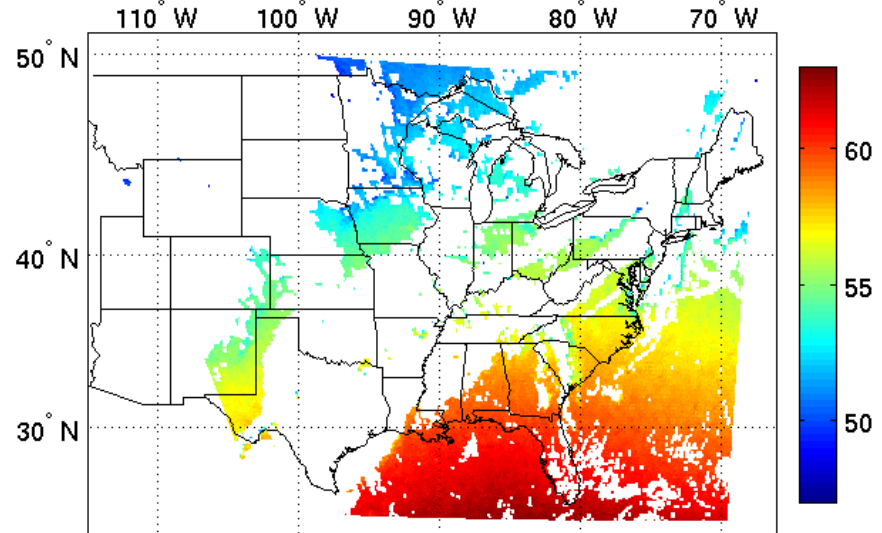


Channel 3 (14.08 μm)

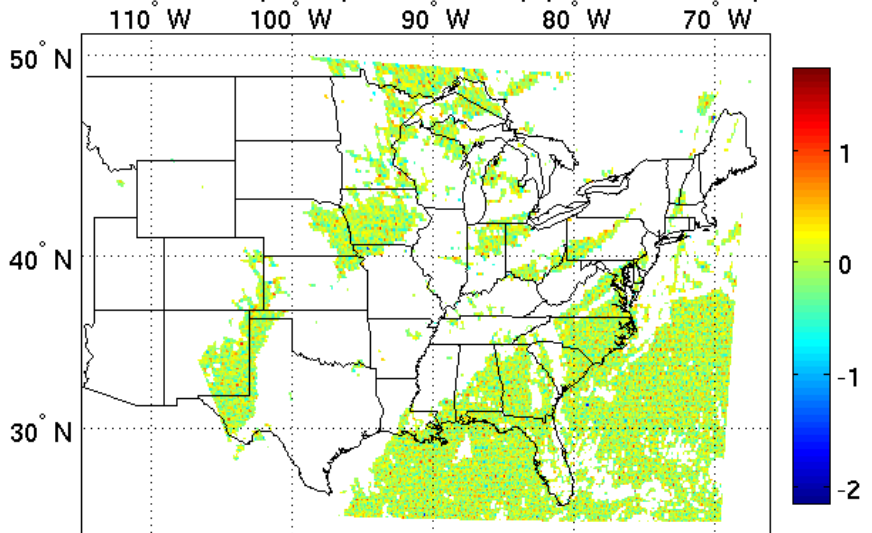
Ch 3 radiance ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) before filtering



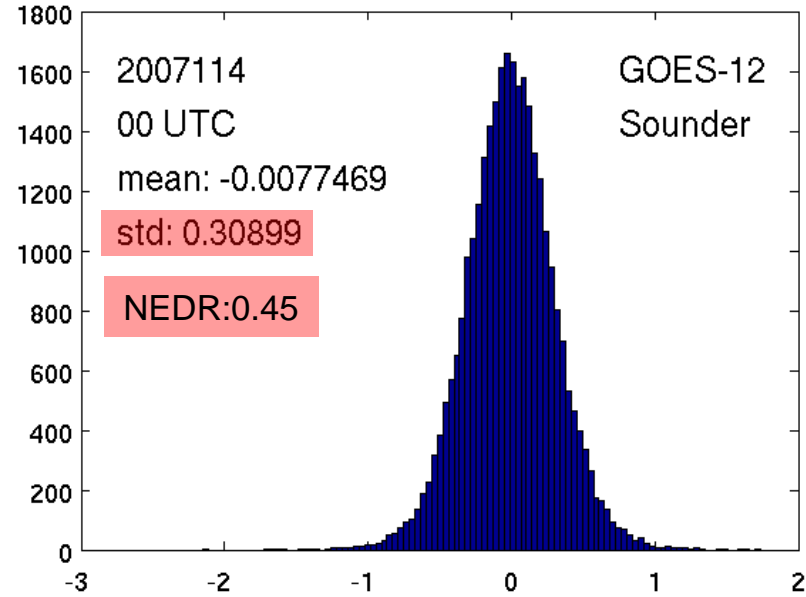
Ch 3 radiance ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) after filtering



Difference ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) (after - before)

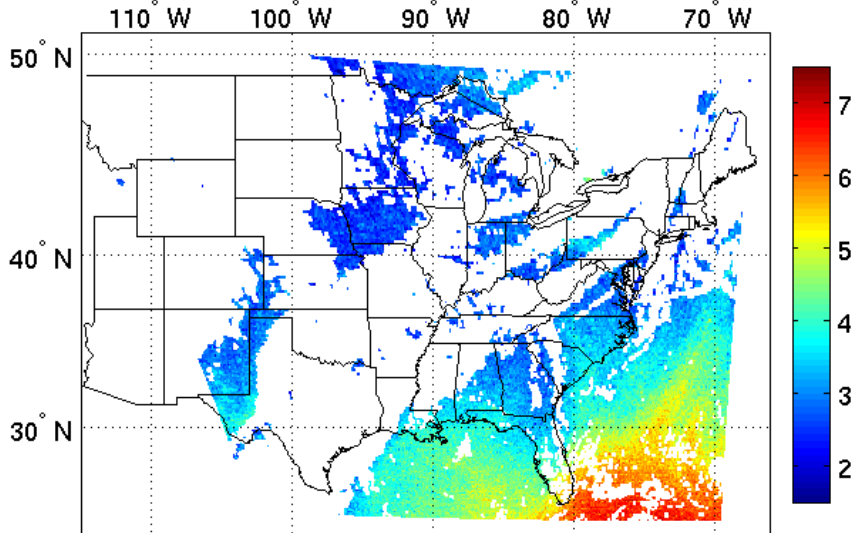


Histogram of difference

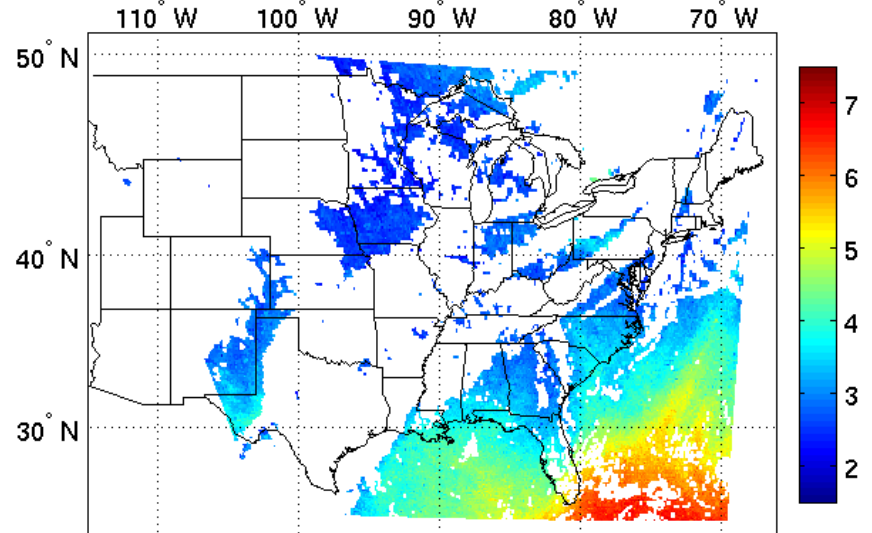


Channel 12 ($6.52 \mu\text{m}$)

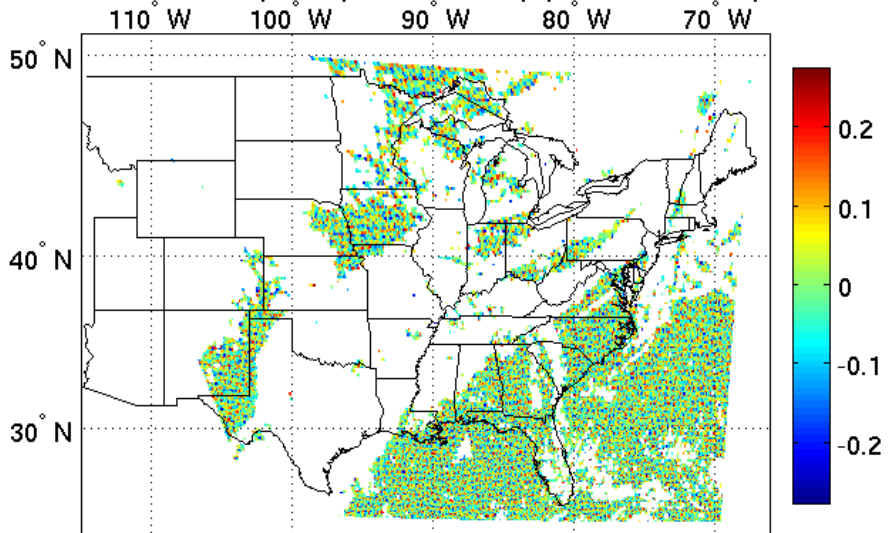
Ch 12 radiance ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) before filtering



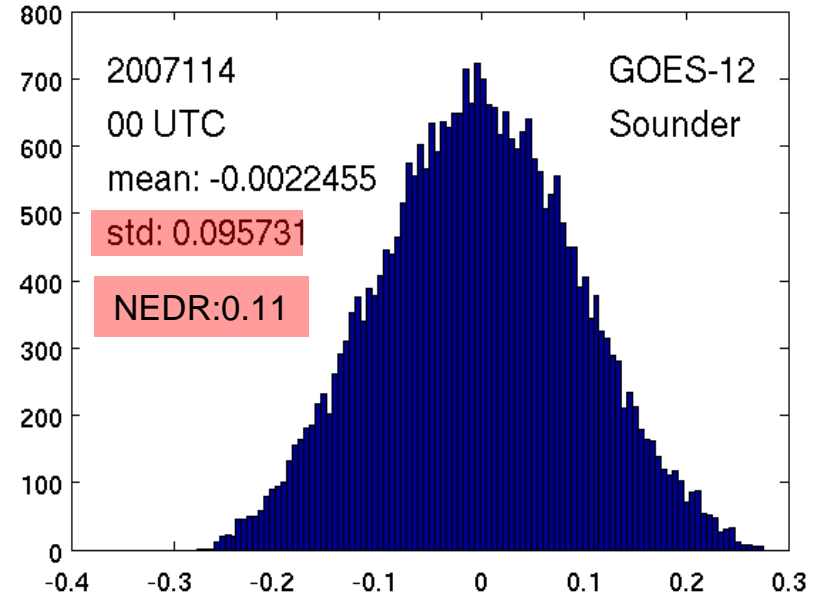
Ch 12 radiance ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) after filtering



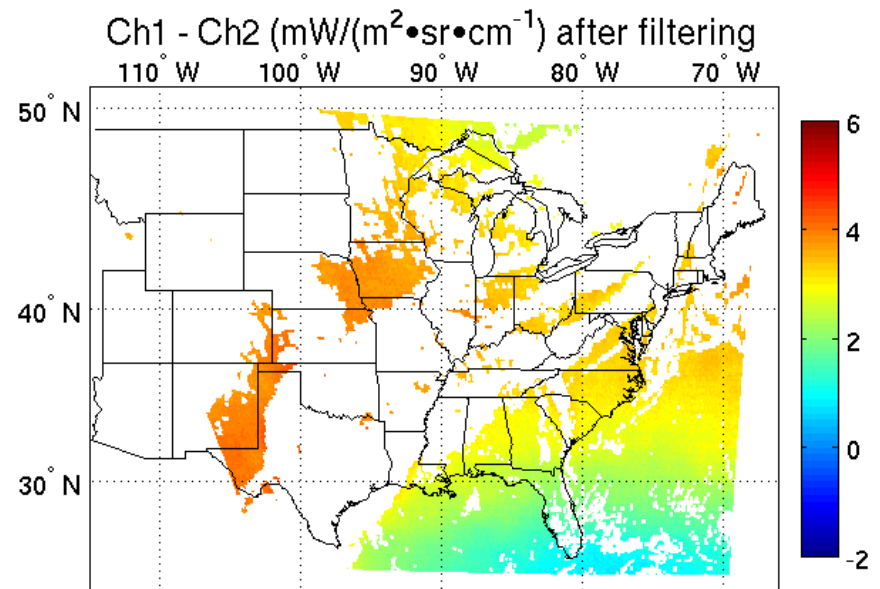
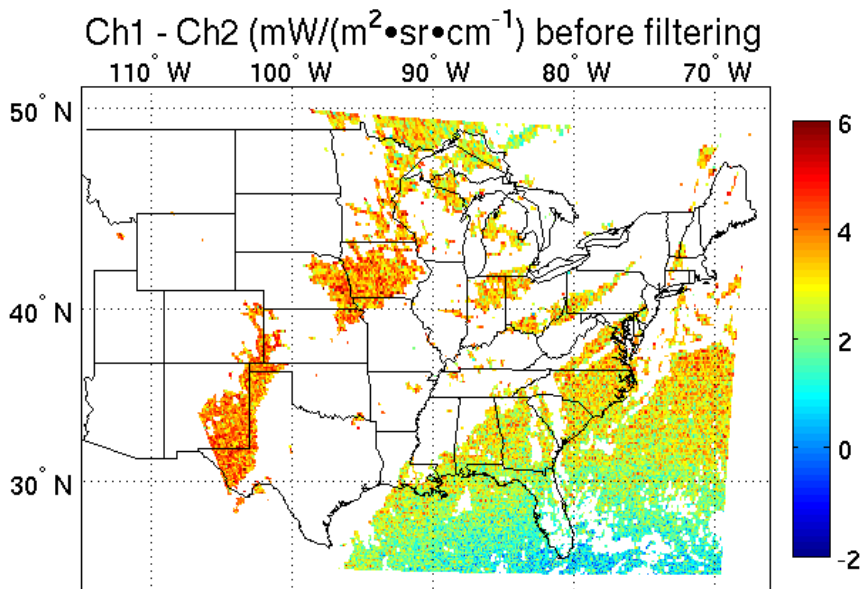
Difference ($\text{mW}/(\text{m}^2 \cdot \text{sr} \cdot \text{cm}^{-1})$) (after - before)



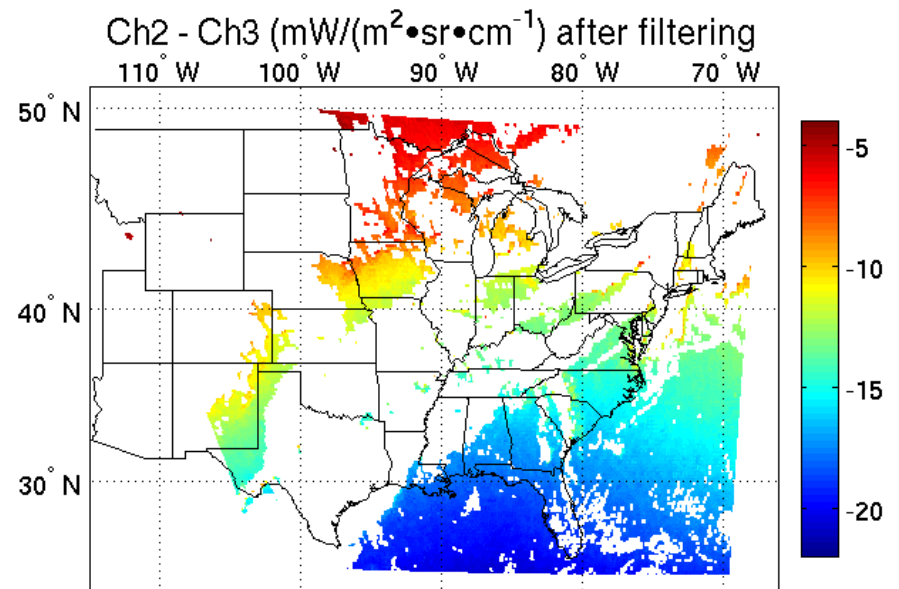
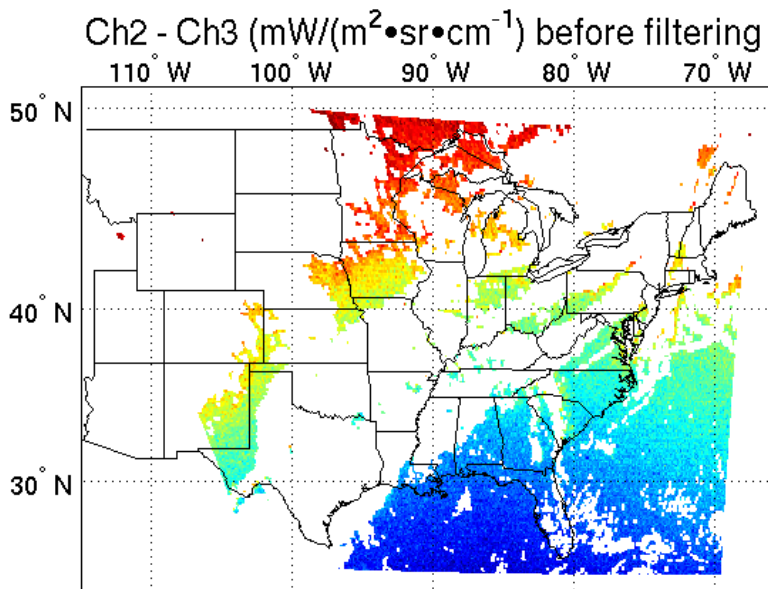
Histogram of difference



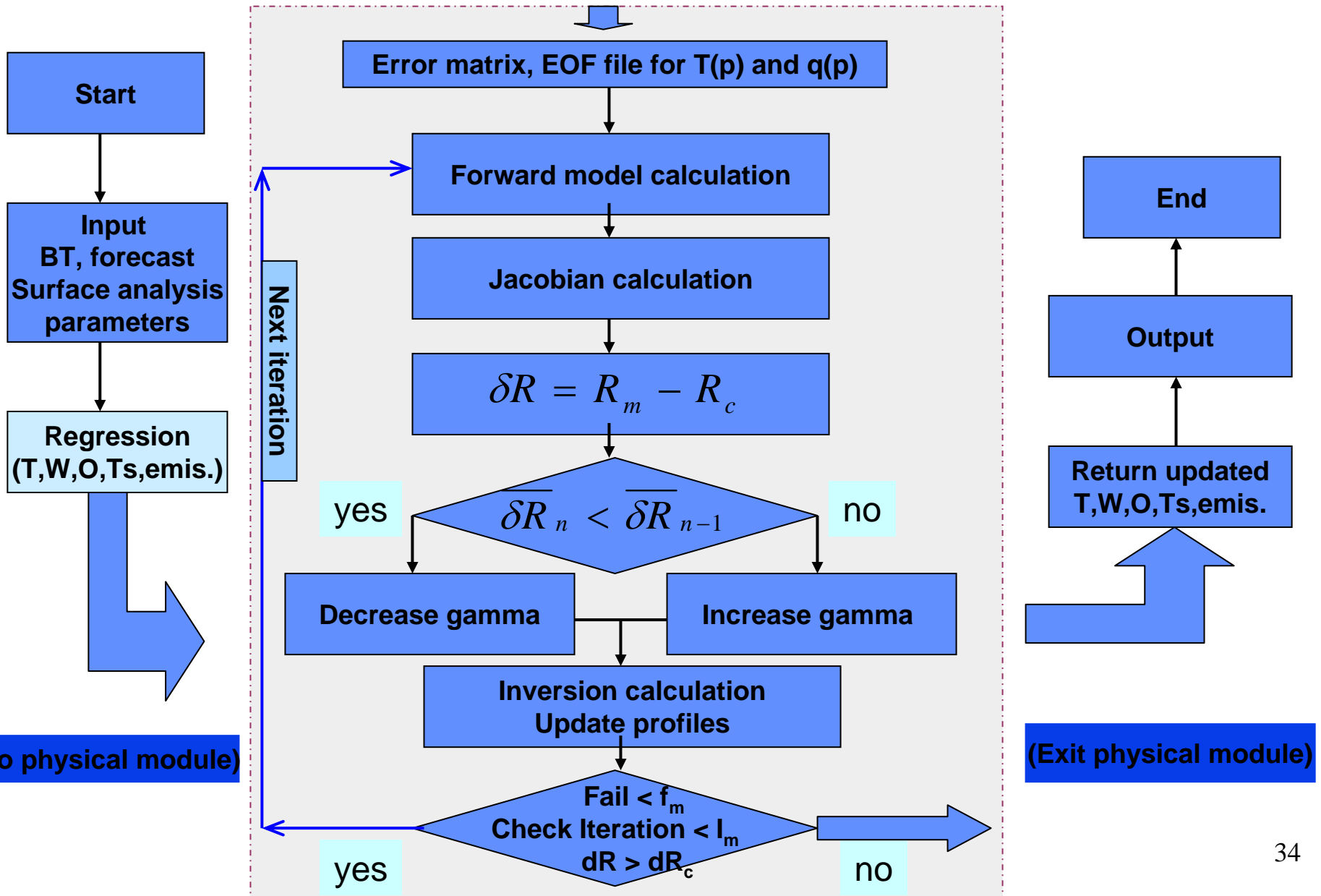
Channel 1 - Channel 2



Channel 2 - Channel 3



Physical module Flow Chart



Algorithm Development Strategy

- **Use spatial continuities (users decide)**
 - » **For ABI**
 - 2 km product
 - » **For SEVIRI**
 - 3 km product
 - » **For GOES Sounder**
 - 10 km product
- **Using forecast information (interpolated spatially, temporally, vertically)**
 - » Request forecast output at least every 3 hours, hourly data is the goal.
 - » The grid size of forecast should be 10 km if possible
- **Use two-step approach**
 - » Statistical retrieval that requires a nearly global representative training data set
 - » Physical retrieval takes into account the IR emissivities, surface pressure
 - Pre-determined emissivities
 - Surface pressure from forecast
- **Quality Control**
 - » Generate QA index during both statistical and physical procedures
 - Residual Index (RI)
 - Convergence Index (CI)
 - Cloud Contamination Index (CCI)
 - Estimated Error (EE)
 - Quality Indicator (QI) derived from above parameters

Questions Remain

- How to better use of temporal continuity?
- Improving emissivity prior information, adjusting emissivity in physical approach? Study shows that adjustment on emissivities in hyperspectral sounding retrieval is reliable (Li et al. 2007 - GRL), but for broad bands ?

Algorithm Development Strategy

Validation:

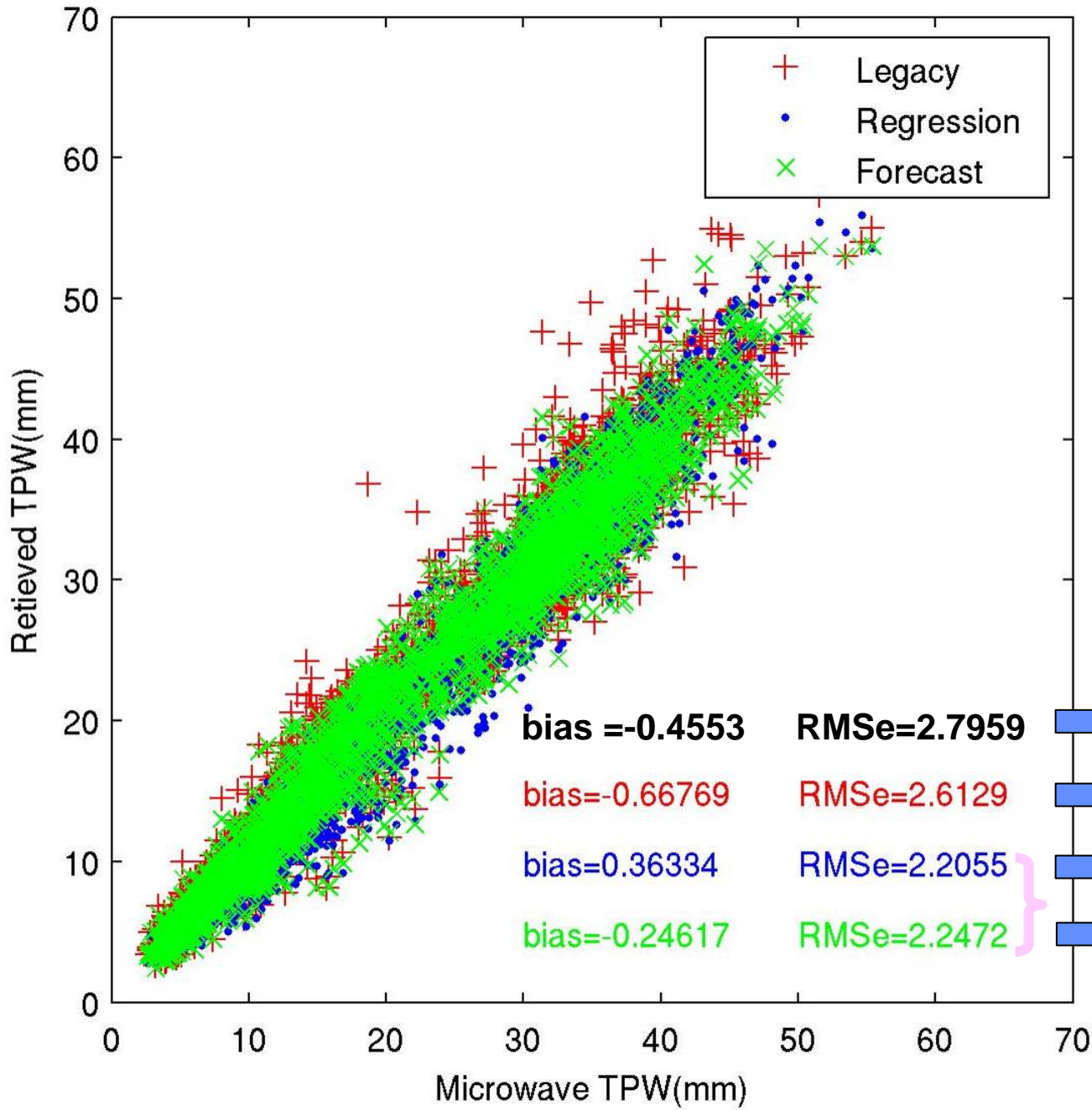
- Truth data
 - » Rawinsondes
 - » Ground based microwave radiometer water vapor measurements
 - » GPS water vapor measurement
- Algorithm Test plan
 - » Develop analyses using truth data above on proxy/simulated cases discussed previously to perform verification/validation
- Error Estimation
 - » Based on our heritage approaches of validating sounding products
- Model impact
 - » Assimilate product in regional model for impact (this is suggested, need additional resource for this task)

Validation and Applications

- Validation of GOES sounding product
- Applications of GOES Sounder products on convective storm cases

Physical Retrieval

Validation with ARM site measurements

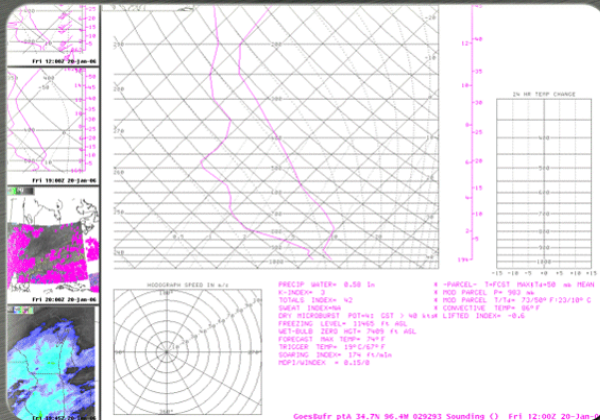


Current GOES Sounder retrievals gives reasonable accuracy when compare with microwave radiometer TPW measurements. The new algorithm improves the legacy product.

- ➔ Forecast
- ➔ Legacy retrieval
- ➔ New RTVL (reg guess)
- ➔ New RTVL (fcst guess)

Temperature & Moisture Profiles

GOES Sounder Point Soundings



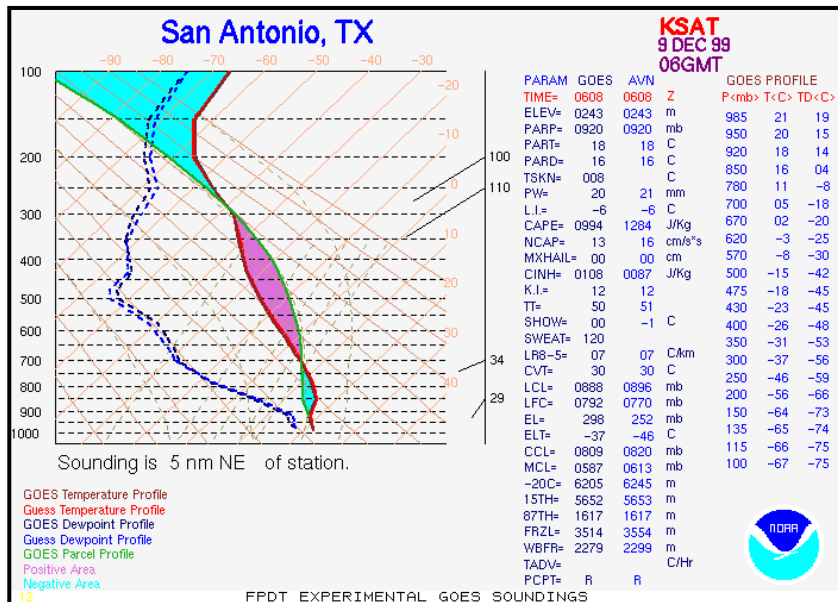
- **Physical Retrieval** (Ma et al, 1999)
 - » Short-term (< 12 hrs) GFS model forecasts provide first guess
 - » Hourly surface observations, NCEP SST analysis provide boundary conditions
 - » Computed at 40 levels
 - » Pixel level retrievals

- **Distributed to AWIPS, NCEP**

Operational Applications

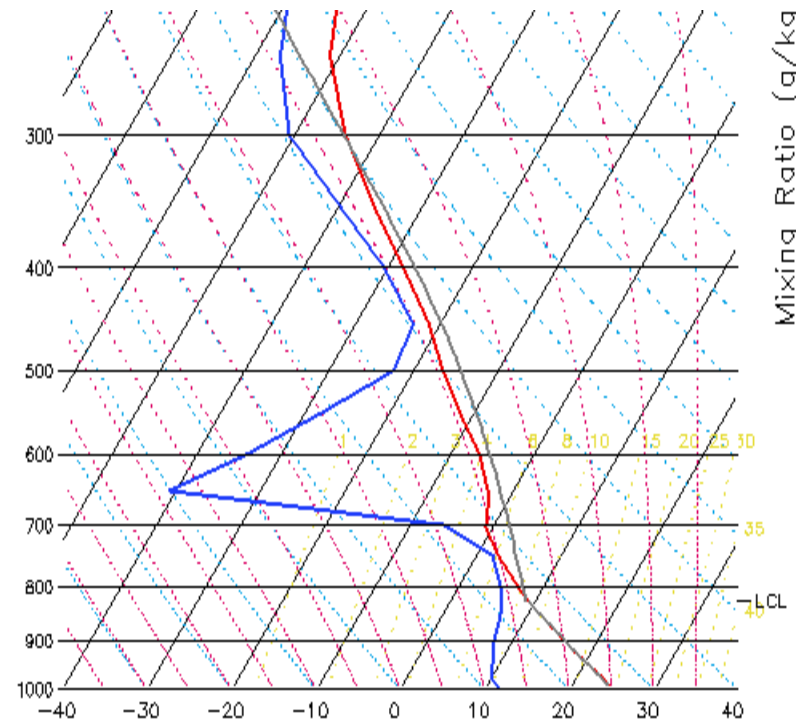
Nowcasting

- » Aids in monitoring of vertical structure of temperature and moisture of the atmosphere
- » Fills in gaps between conventional observations
- » Convective potential and morphology
- » Situational awareness in pre-convective environments for potential watch/warning scenarios

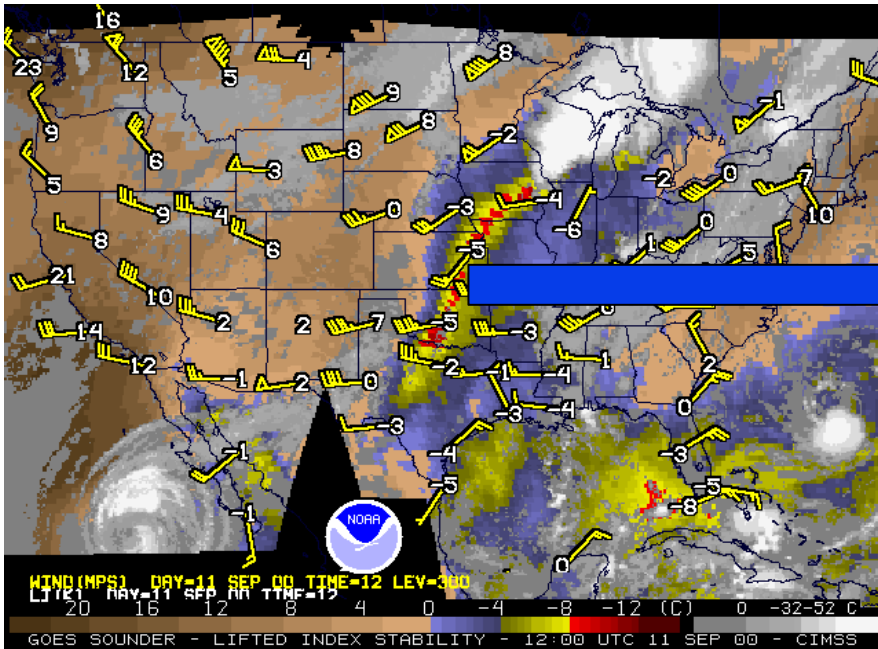


The lifted index (LI)

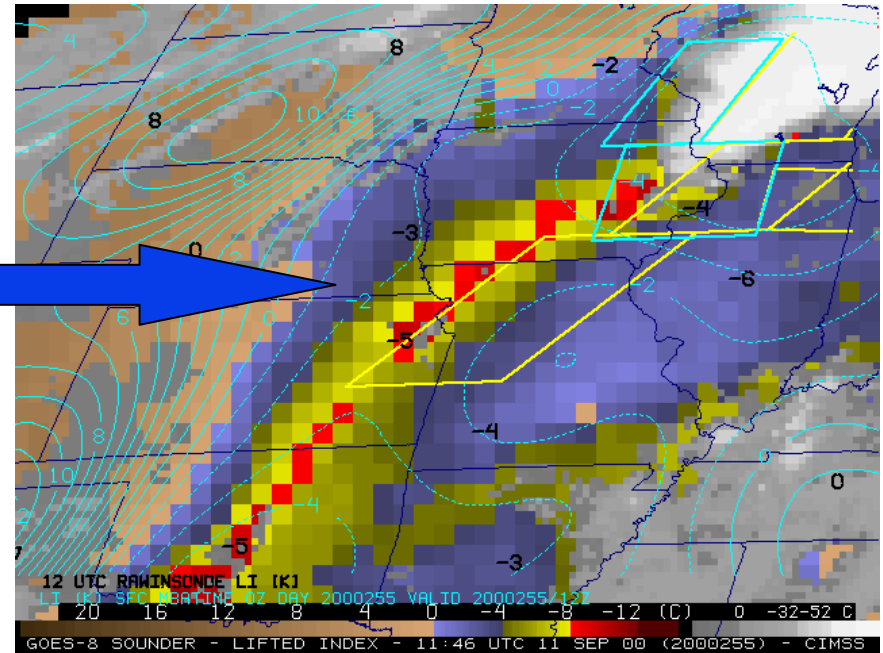
- The LI, a measurement of atmospheric instability
- positive → a stable atmosphere
- [0 -3] → **marginally unstable**
- [-3 -6] → **moderately unstable**
- [-6 -9] → **very unstable**
- ≤ -9 → **extreme instability**
- Best chances of a severe thunderstorm ≤ -6 .



12 UTC Sounder LI



Zoomed in view:



With Eta forecast and watch boxes

EXCESSIVE RAINFALL POTENTIAL OUTLOOK

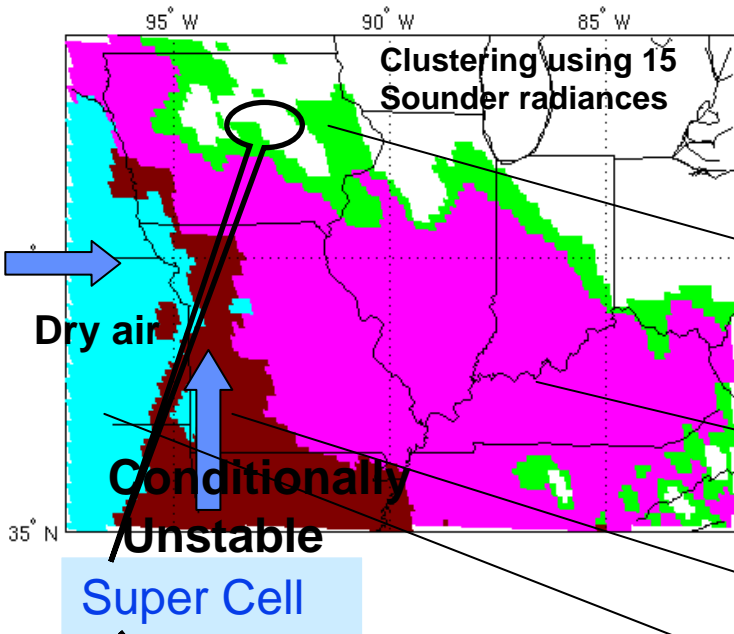
HYDROMETEOROLOGICAL PREDICTION CENTER...NWS...CAMP SPRINGS MD

GOES SOUNDER DATA SHOWS Precipitable Water from the Sounder (PWS) SOUTH OF THE OUTFLOW BOUNDARY ARE IN THE 1.60 TO 1.70 INCH RANGE. THE SOUNDER DATA ALSO INDICATES THAT THE AIRMASS TO THE WEST ACROSS IL IS CONTINUING TO DESTABILIZE. ALL THE ABOVE ARGUE FOR THE POTENTIAL FOR ISOLATED 3 TO 5 INCH RAINFALL BEFORE THE SYSTEM STARTS SHIFTING EWD.

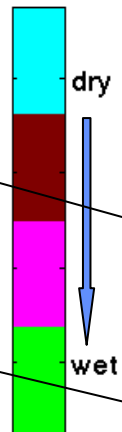
Janesville, WI received 4 inches of rain; Sullivan, WI had 3 inches.

Air mass tracking 22 UTC, 13 April 2006

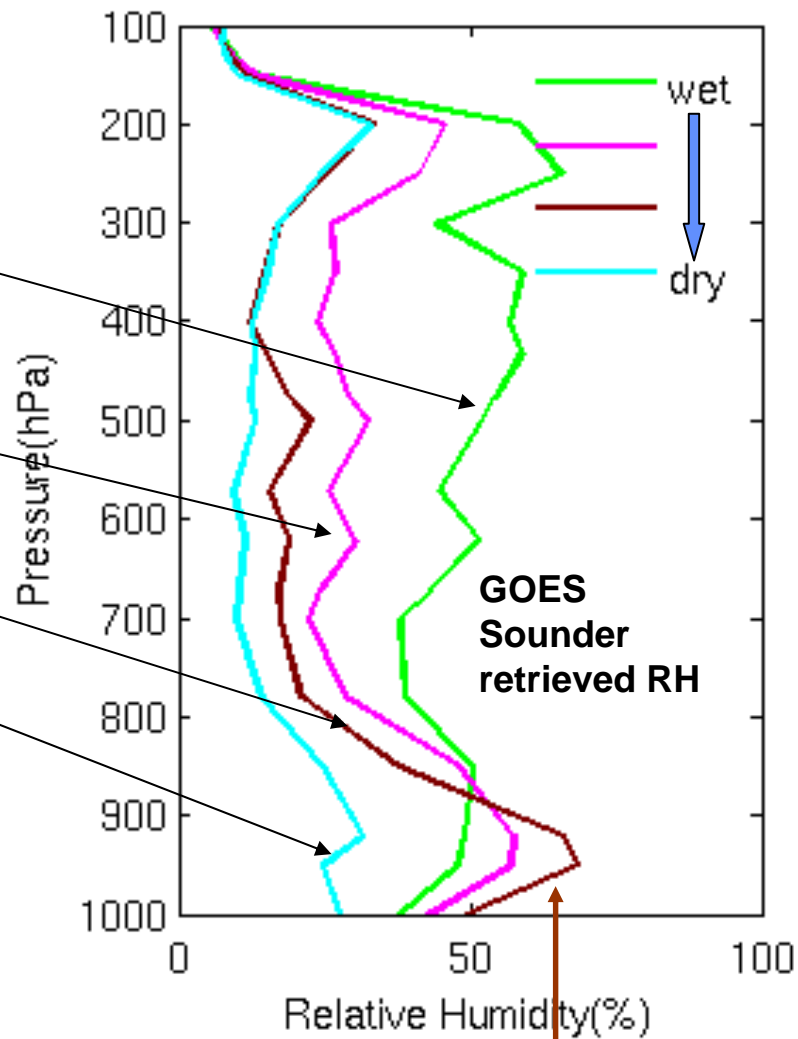
air mass classification 20060413 22 UTC



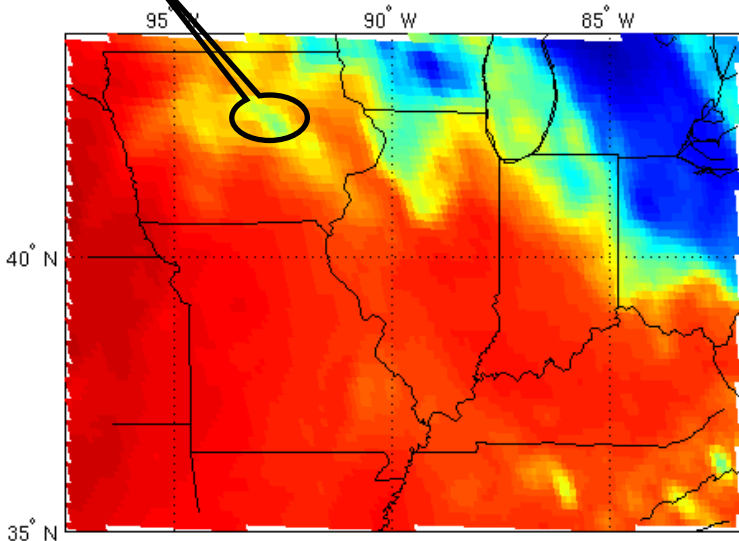
air mass type



20060413 22 UTC



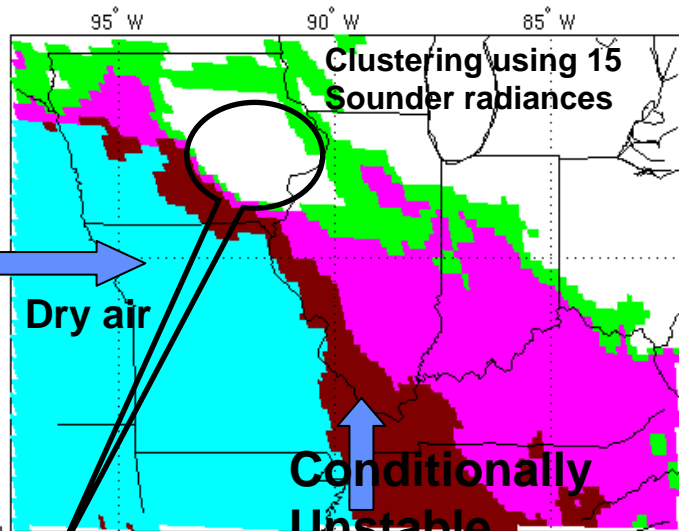
BT (K) of 11 um 20060413 22 UTC



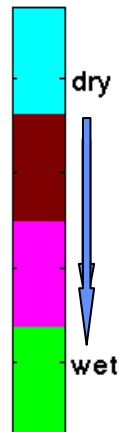
Potentially convective unstable

Air mass tracking 00 UTC, 14 April 2006

air mass classification 20060414 00 UTC

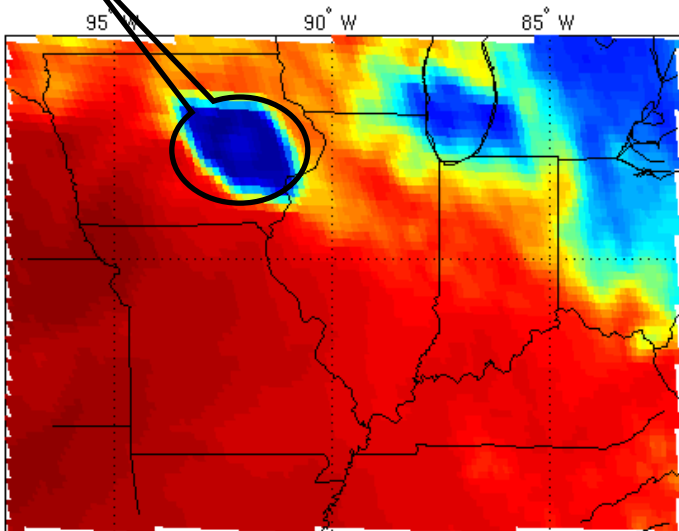


air mass type

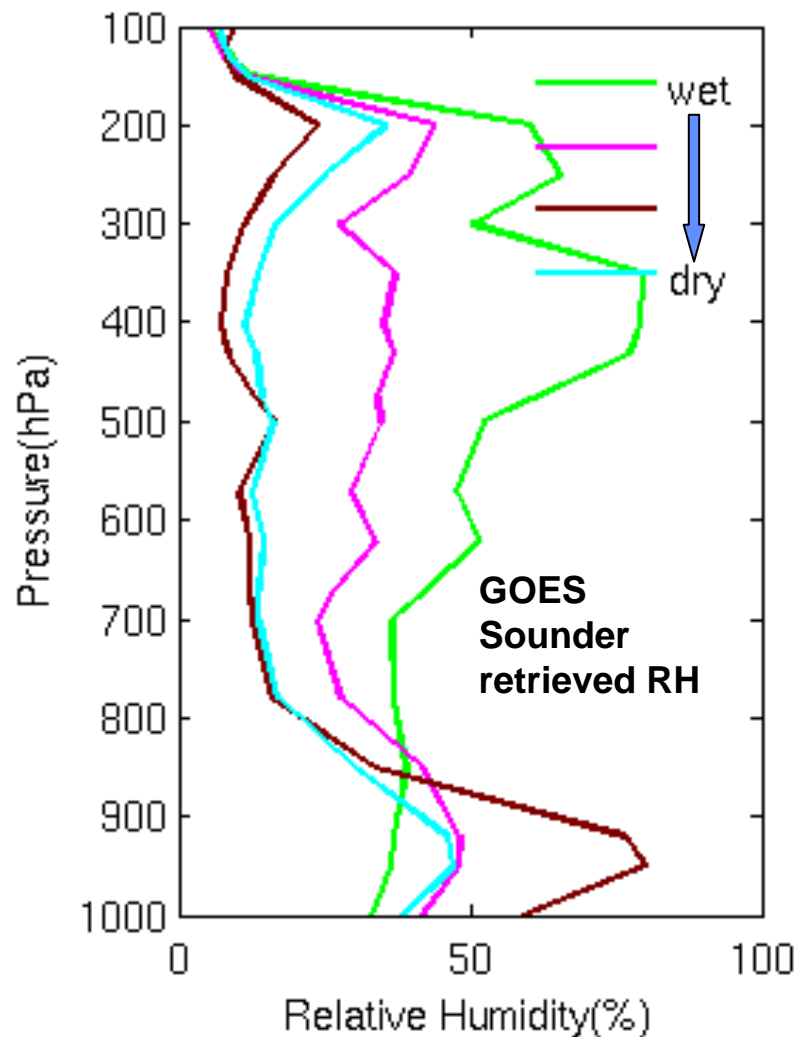


Super Cell

BT(K) of 11 um 20060414 00 UTC

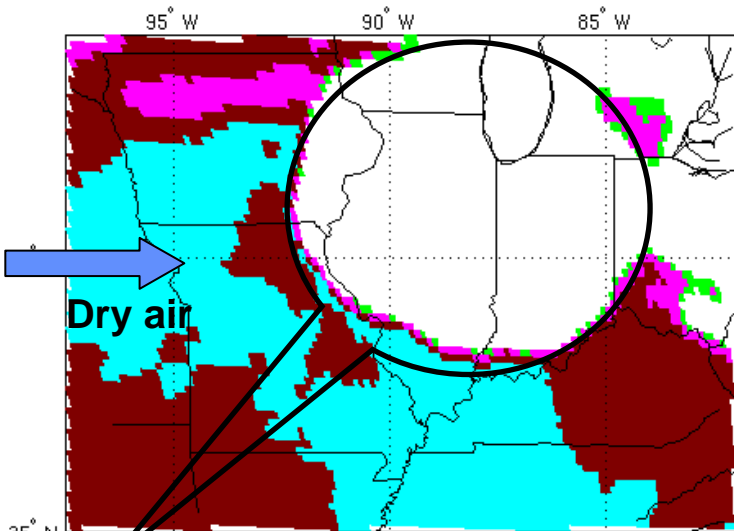


20060414 00 UTC

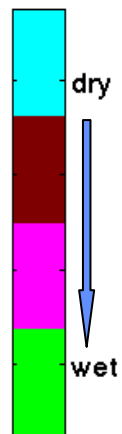


Air mass tracking 03 UTC, 14 April 2006

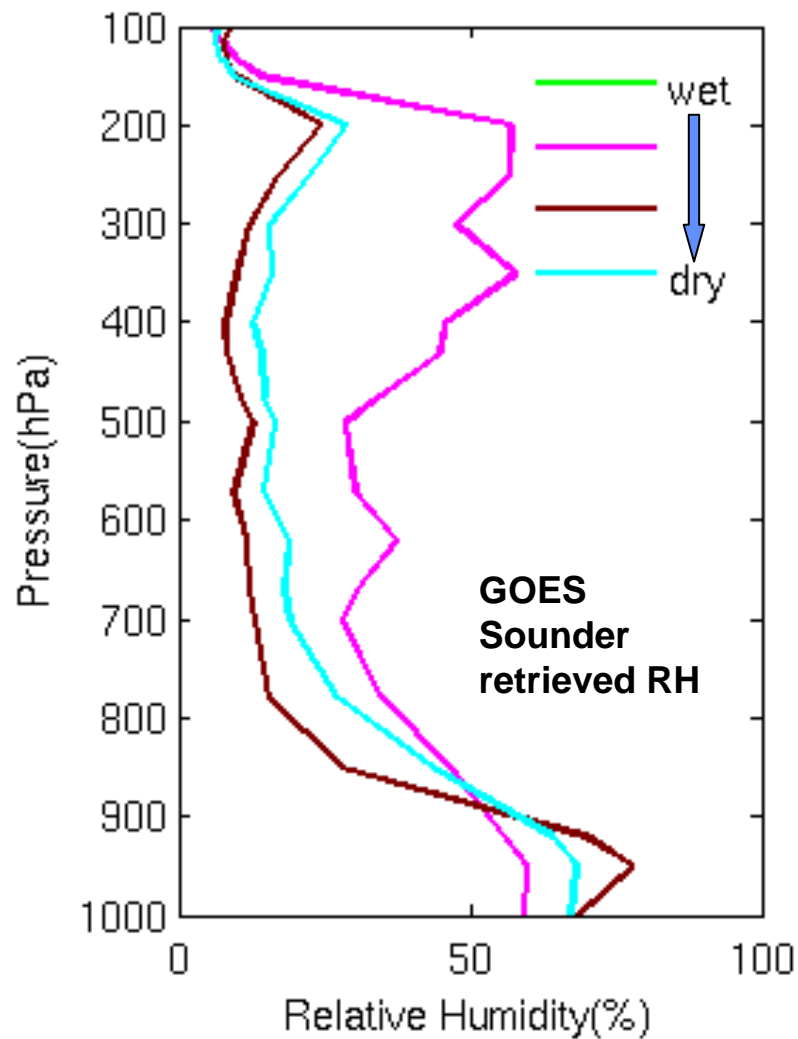
air mass classification 20060414 03 UTC



air mass type

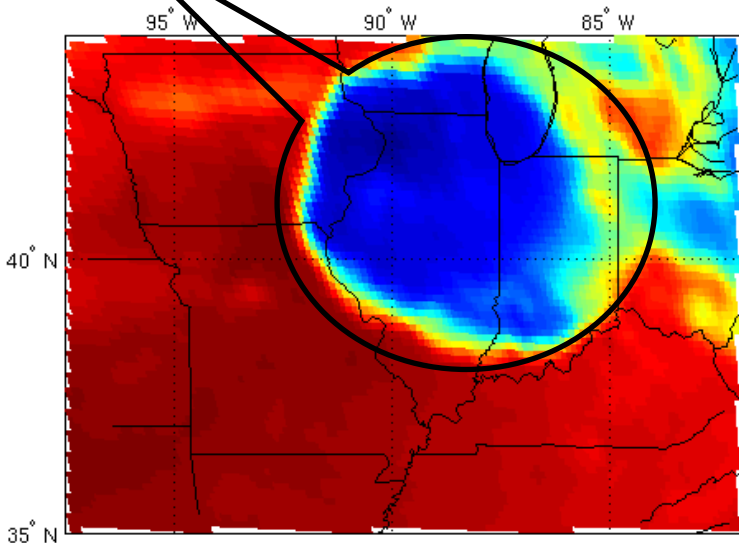


20060414 03 UTC

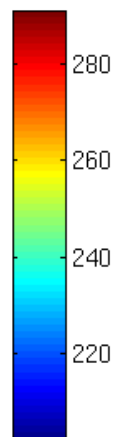


Super Cell

BT (K) of 11 um 20060414 03 UTC

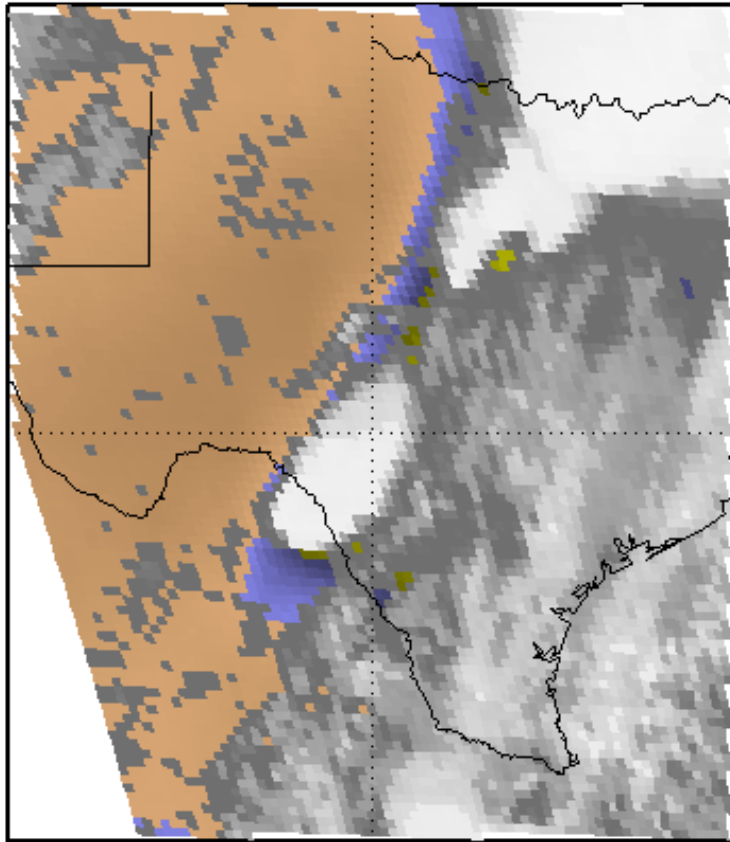


BT(K)



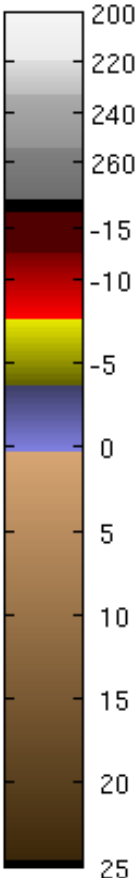
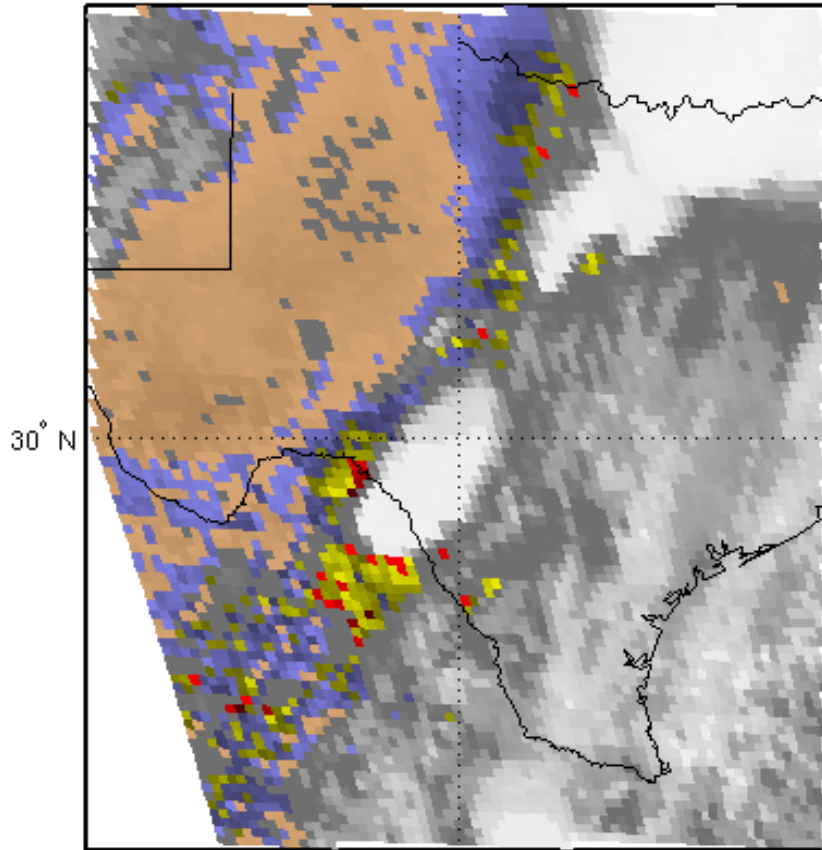
Forecast Lift Index

100° W



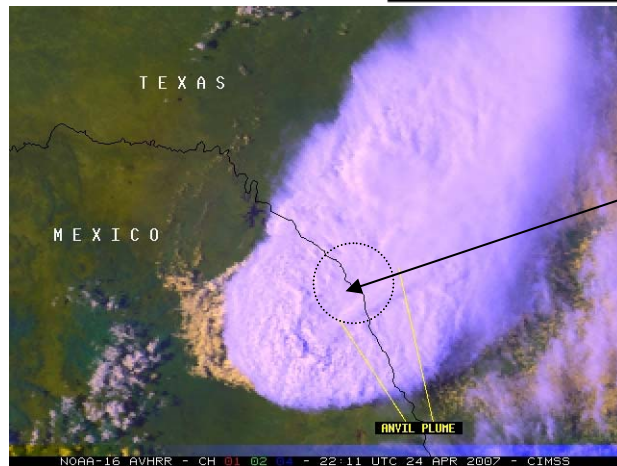
Retrieved Lift Index

100° W



Forecast LI

22 UTC on 24 April 2007



GOES Sounder LI

UIW/CIMSS

Tornado that killed 10 and injured 120 persons in the Eagle Pass, Texas area

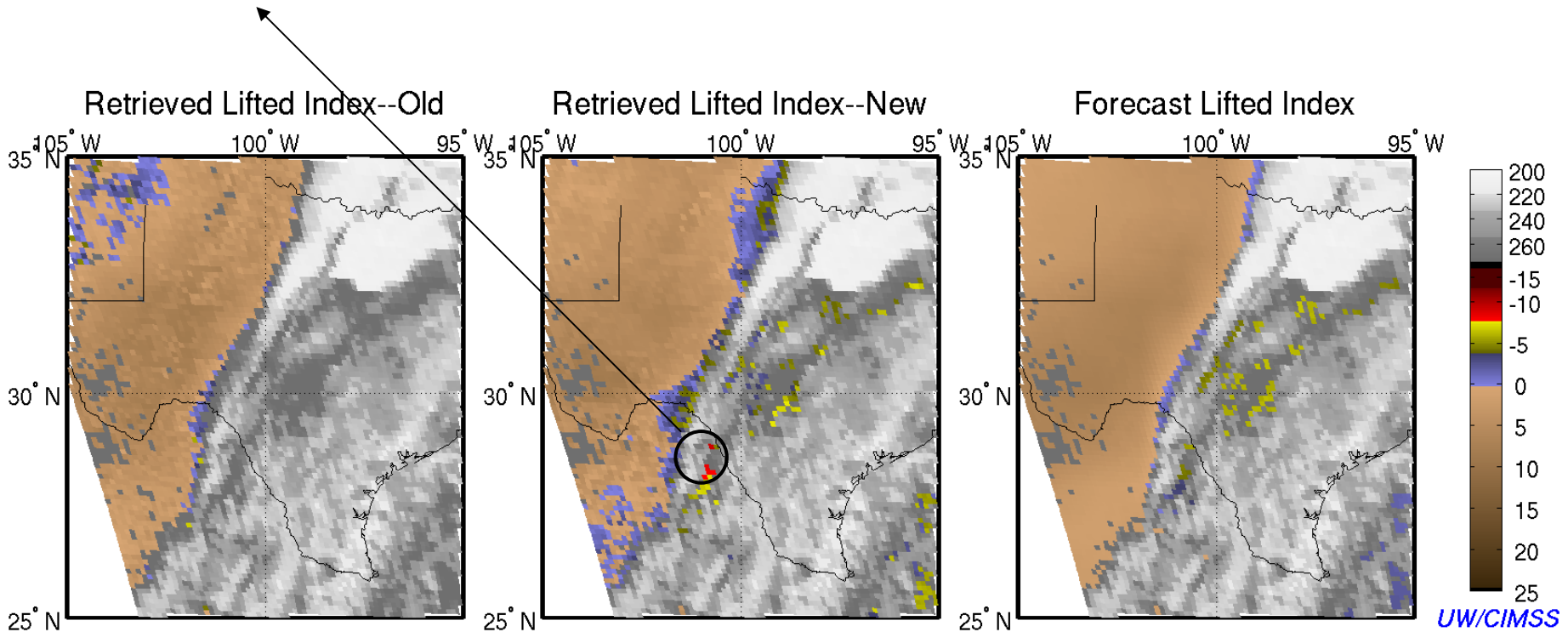
19 UTC

24 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas

Large instabilities will initialize the supercell. It is also reasonable to assume instabilities under these clouds are large.



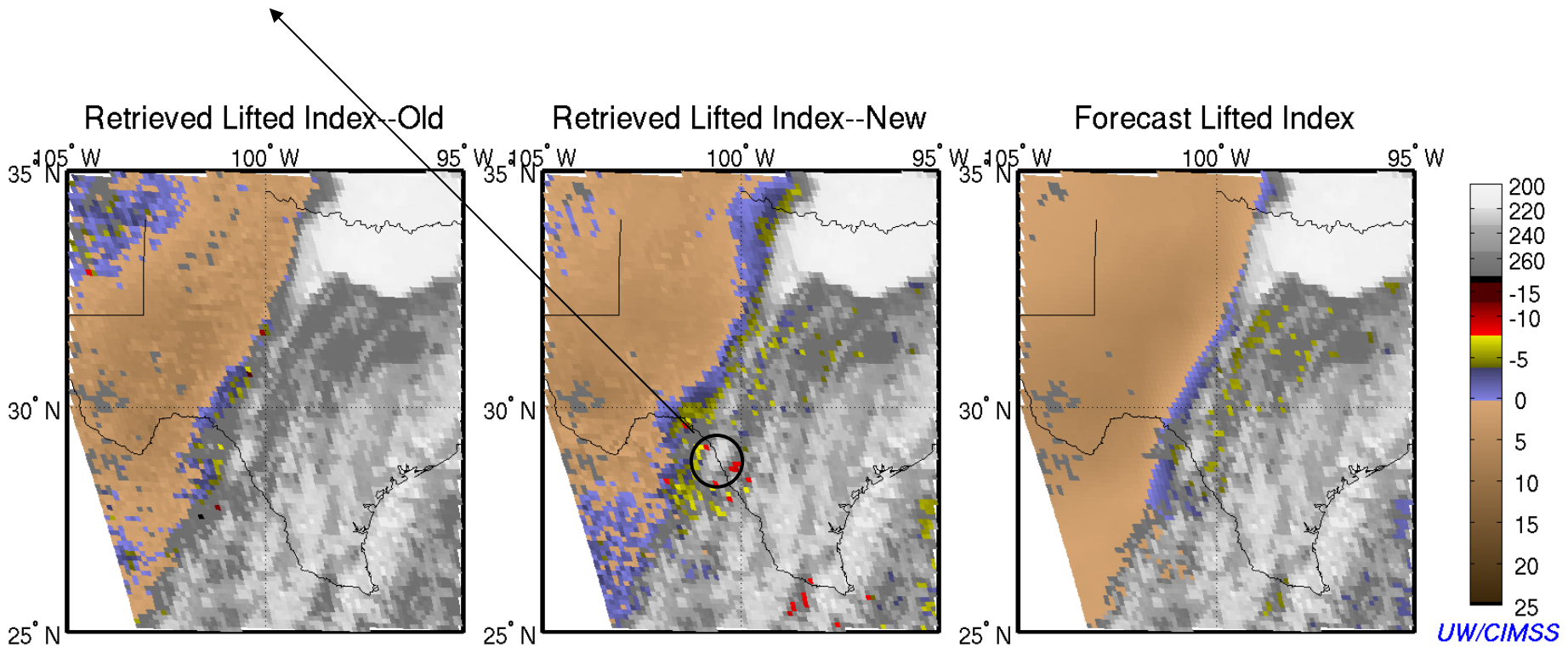
20 UTC

24 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas

Increased areas of large instabilities.



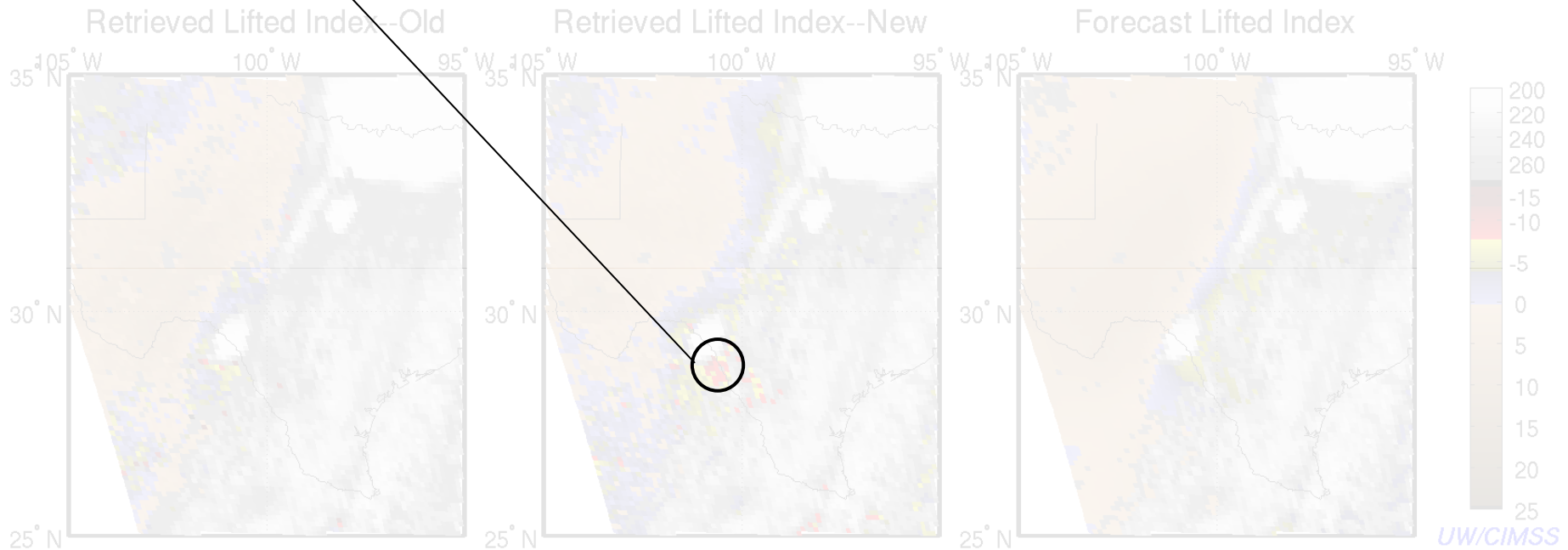
21 UTC

24 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas

Supercell formed. Large instabilities from the south support the supercell.



22 UTC

24 April 2007

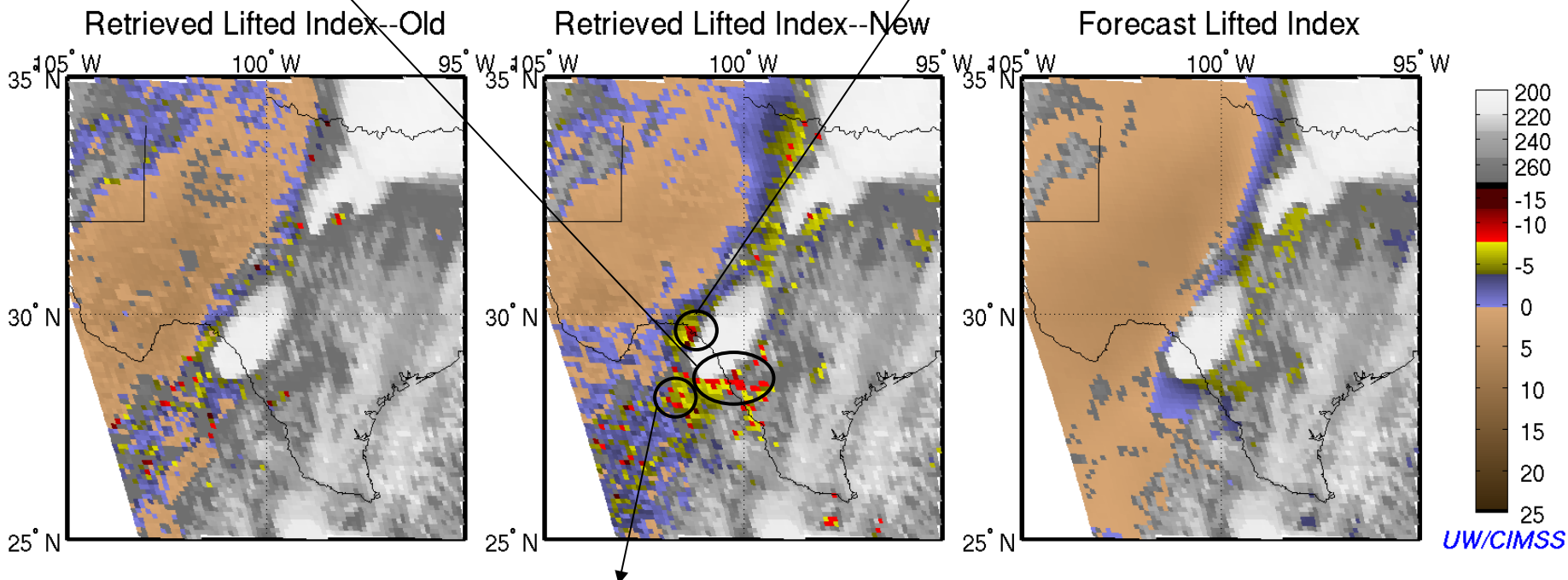


Case 1, a tornadic supercell

Eagle Pass, Texas

Supercell kept growing. Large instabilities from the south still support the supercell.

This small area of extremely large instabilities will trigger another convective storm.



Watch out for this increasing instabilities here.

23 UTC

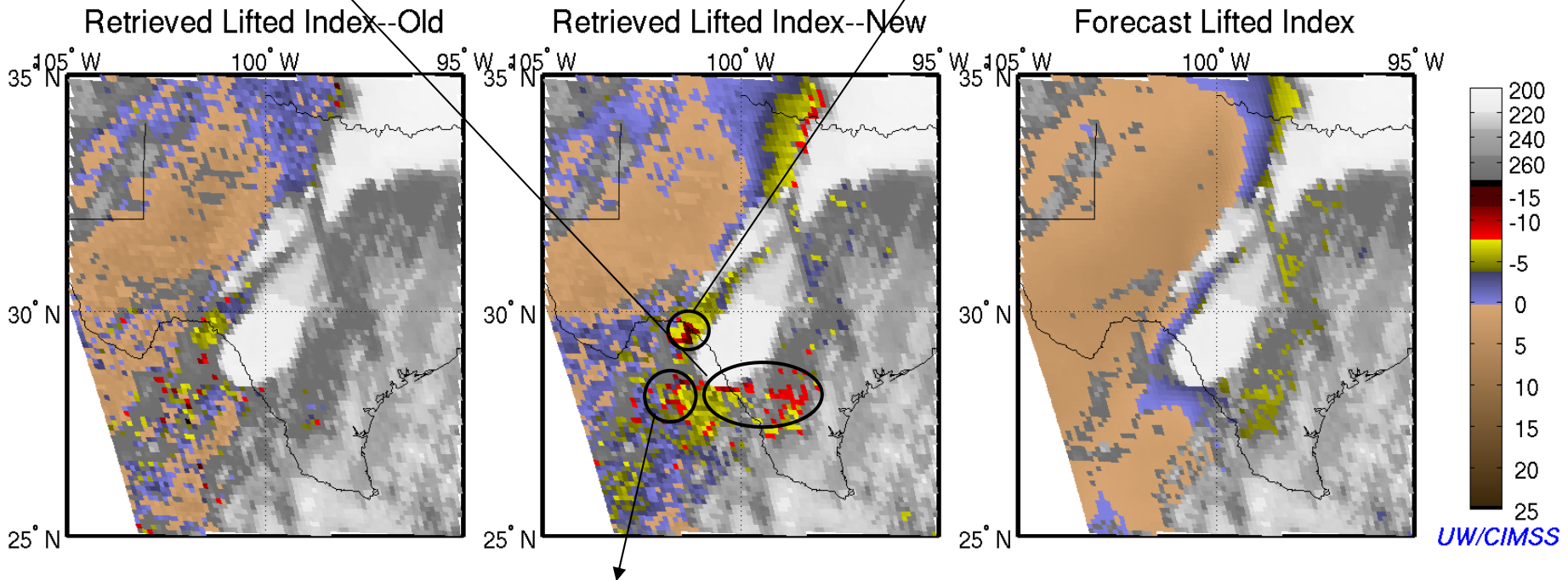
24 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas

Supercell kept growing. Large instabilities from the south still support the supercell.

The second convective storm formed to the north of the supercell.



Instabilities kept growing here.

00 UTC

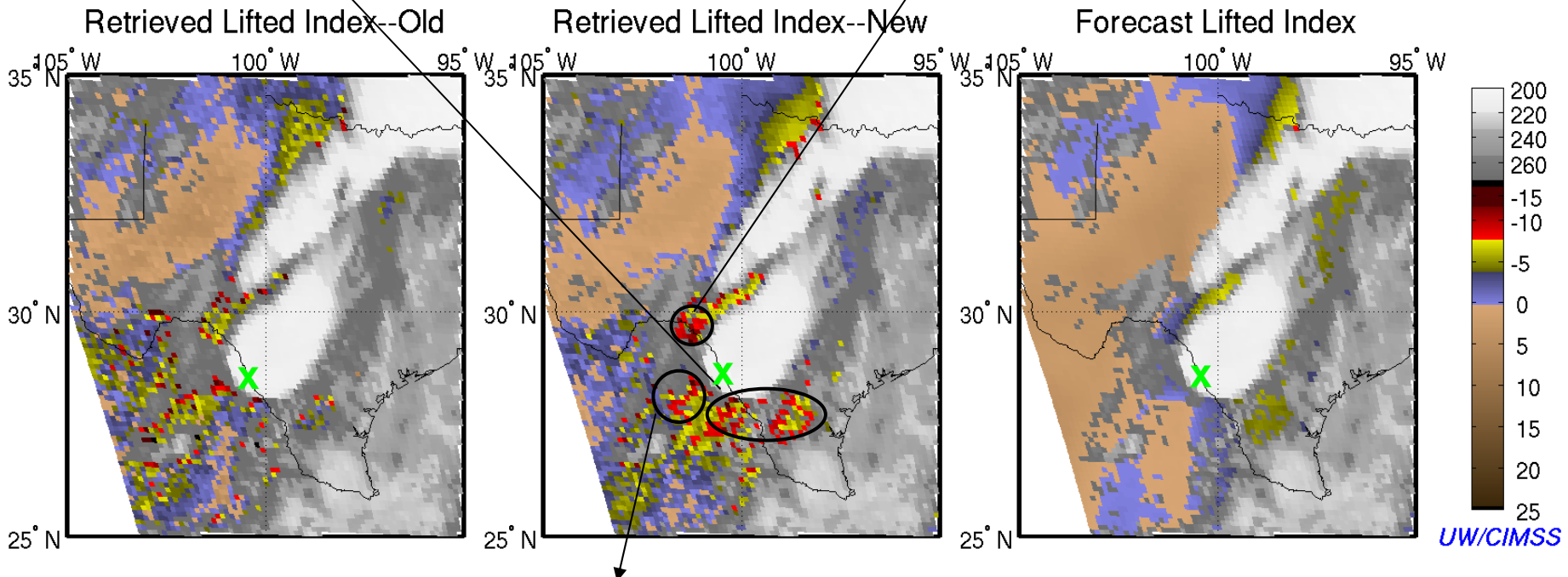
25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas

Supercell kept growing. Large instabilities from the south still support the supercell.

The second convective storm grew quickly with the support from the large instabilities.



Instabilities kept growing here.

01 UTC

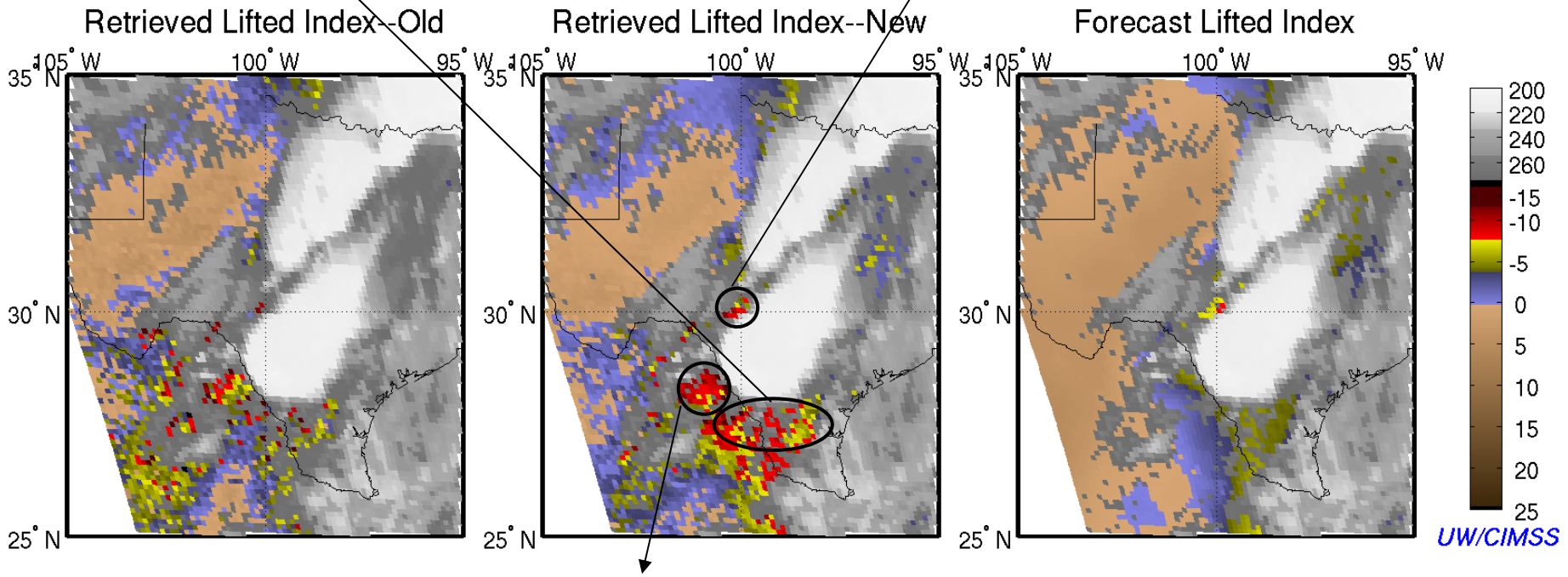
25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas

Supercell kept growing. Large instabilities from the south still support the supercell.

Without constant moisture supply, the instabilities stopped increasing. The convective storm died out.



Instabilities kept growing here.

02 UTC

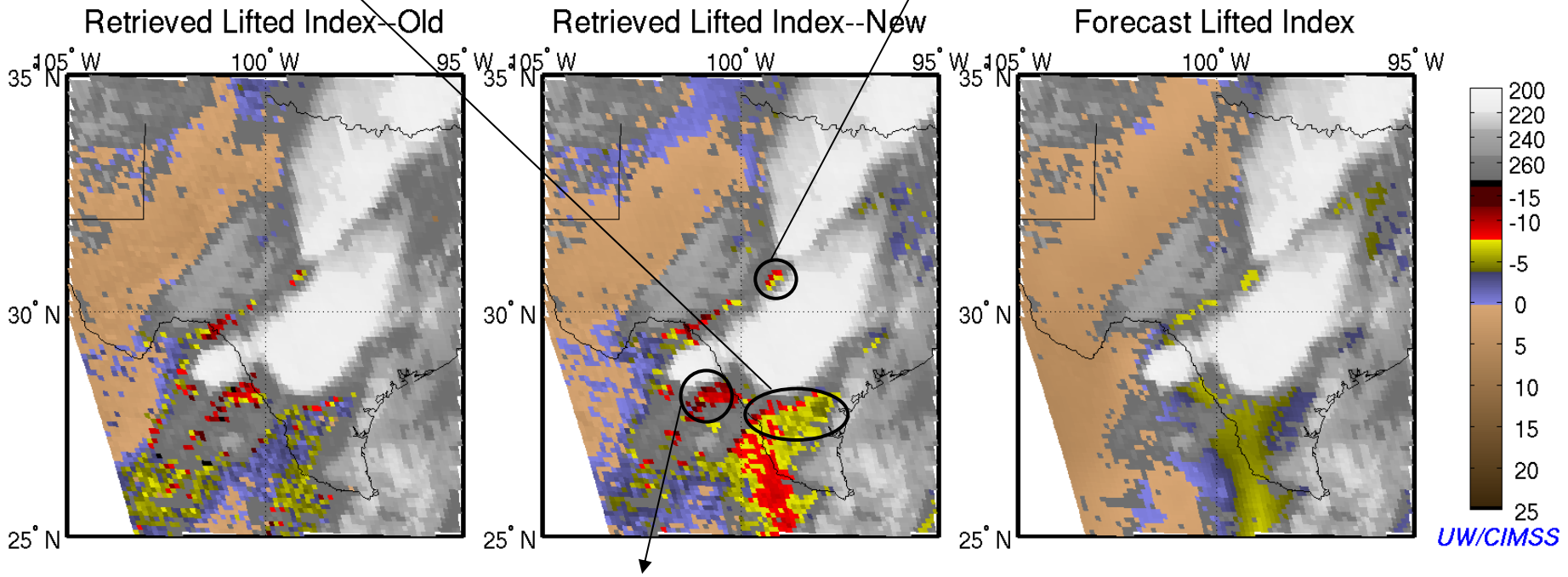
25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas

The area of large instabilities was decreasing. The supercell began dying out.

The second storm was history.



The third convective storm formed with the supply of large instabilities.

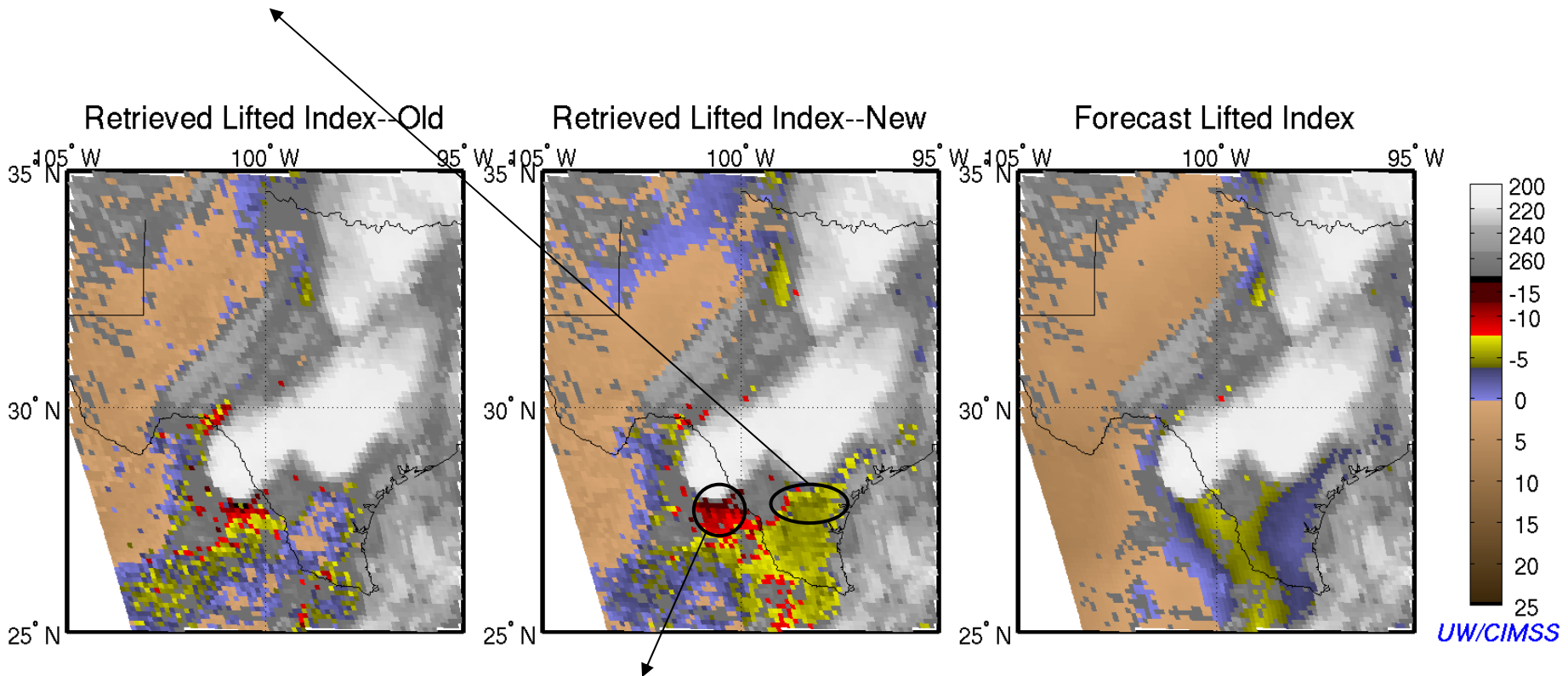
03 UTC

25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas

No instabilities support the supercell.
The supercell was history.



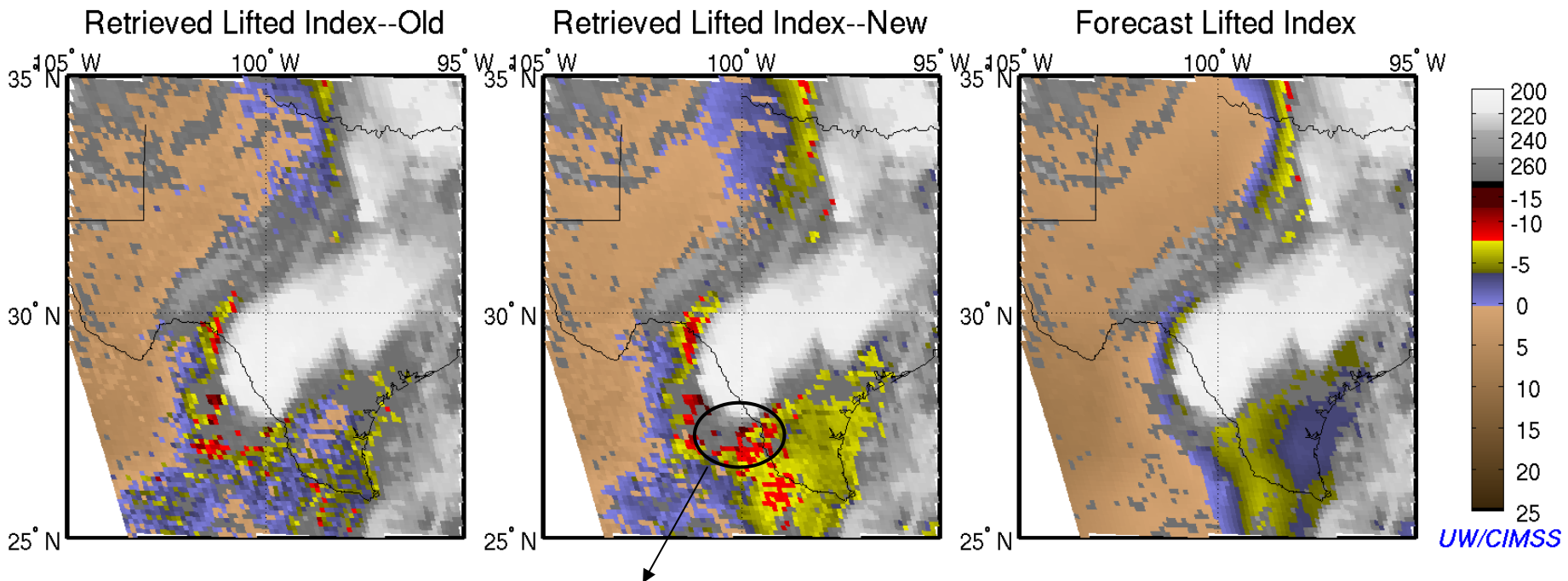
Increased area of instabilities kept the
third convective storm growing quickly.

04 UTC

25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas



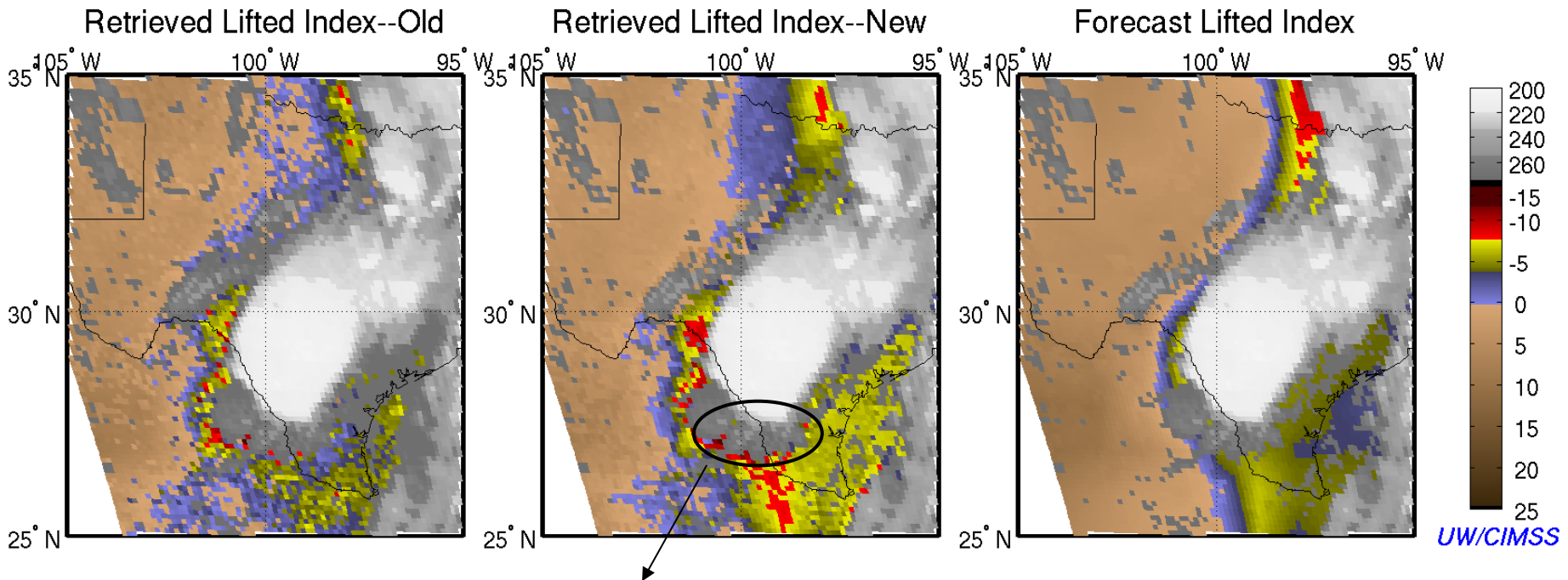
Increased area of instabilities kept the third convective storm growing quickly. It was reasonable to assume large instabilities under the clouds within this area.

05 UTC

25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas



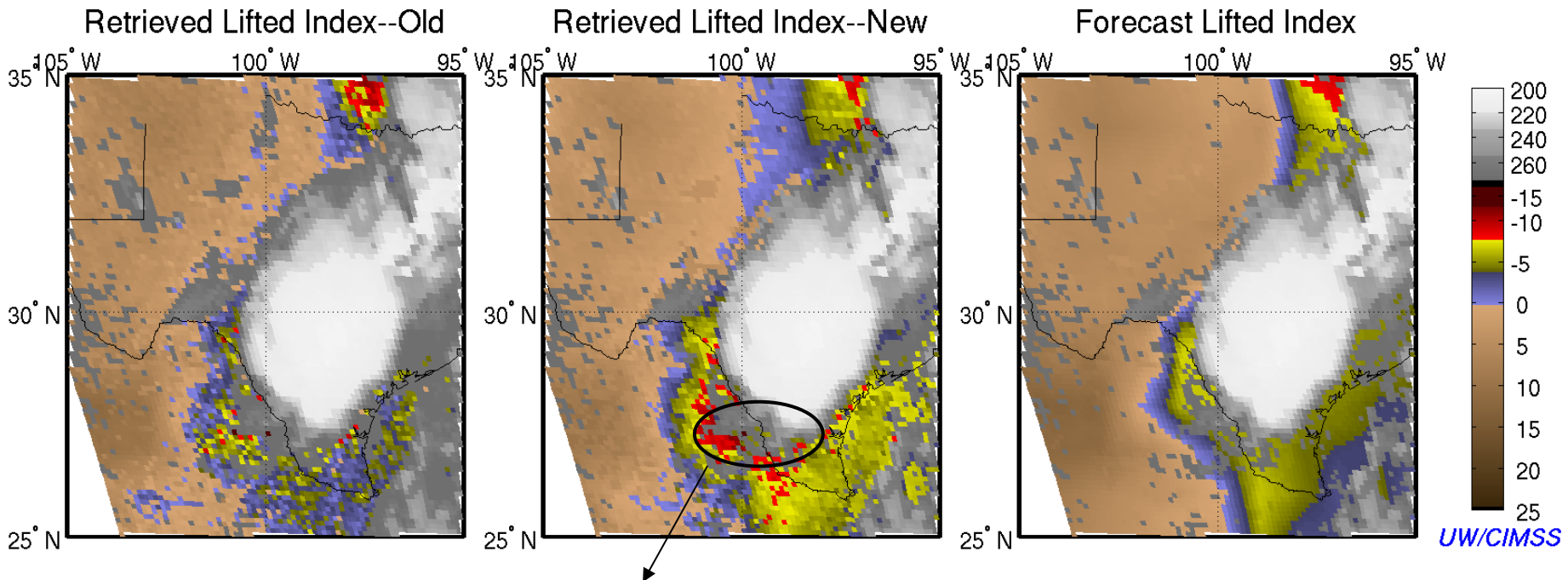
A lot of areas were covered by clouds. And the storm kept growing.

06 UTC

25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas



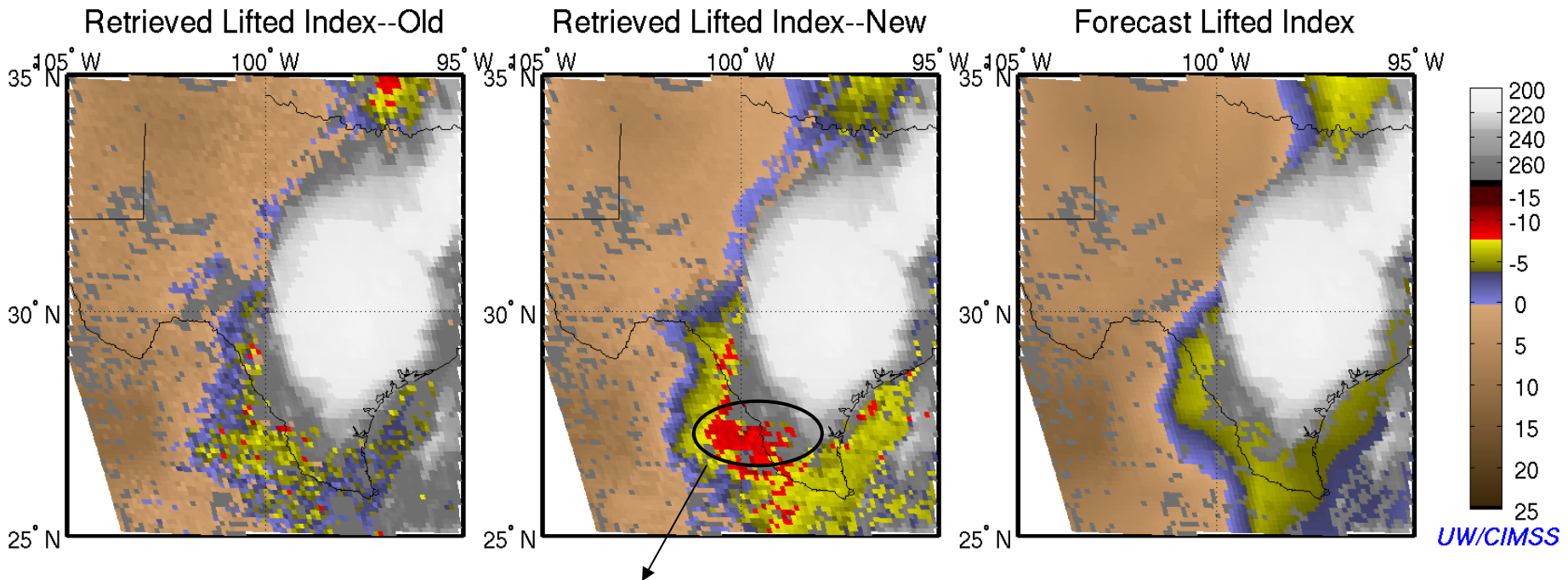
A lot of areas were covered by clouds. And the storm still kept growing.

07 UTC

25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas



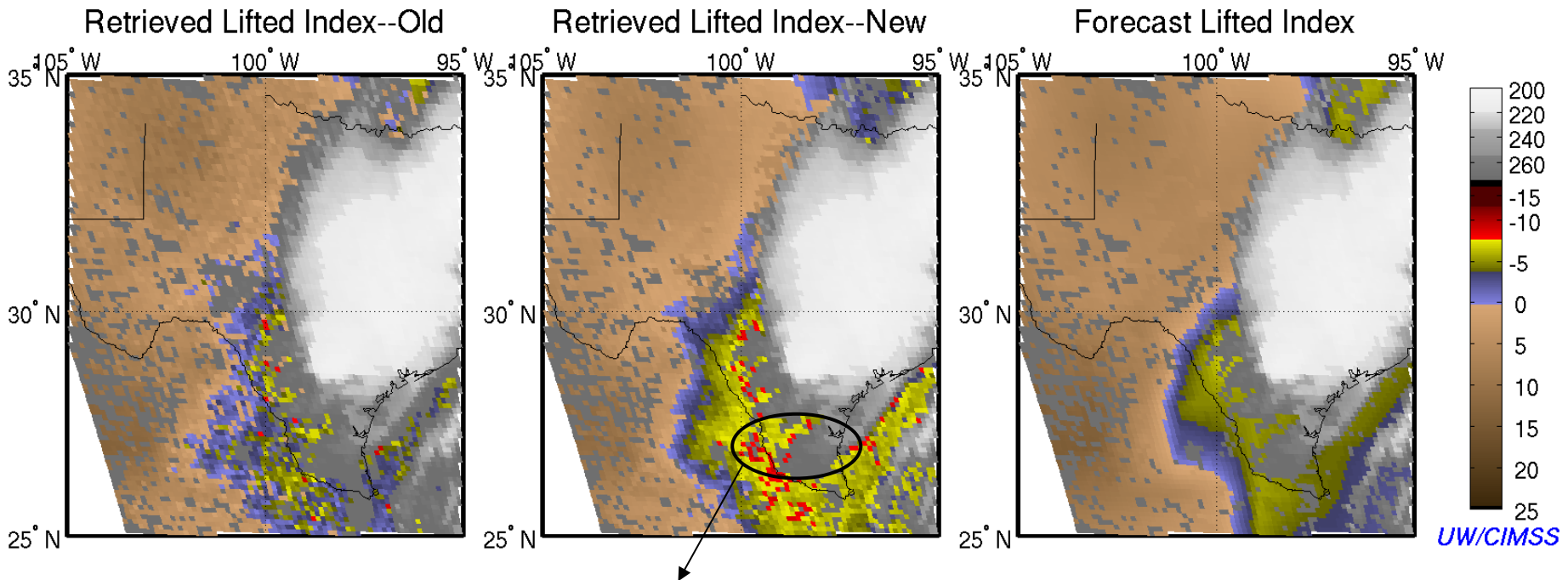
A lot of areas were covered by clouds. And the storm still kept growing.

08 UTC

25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas



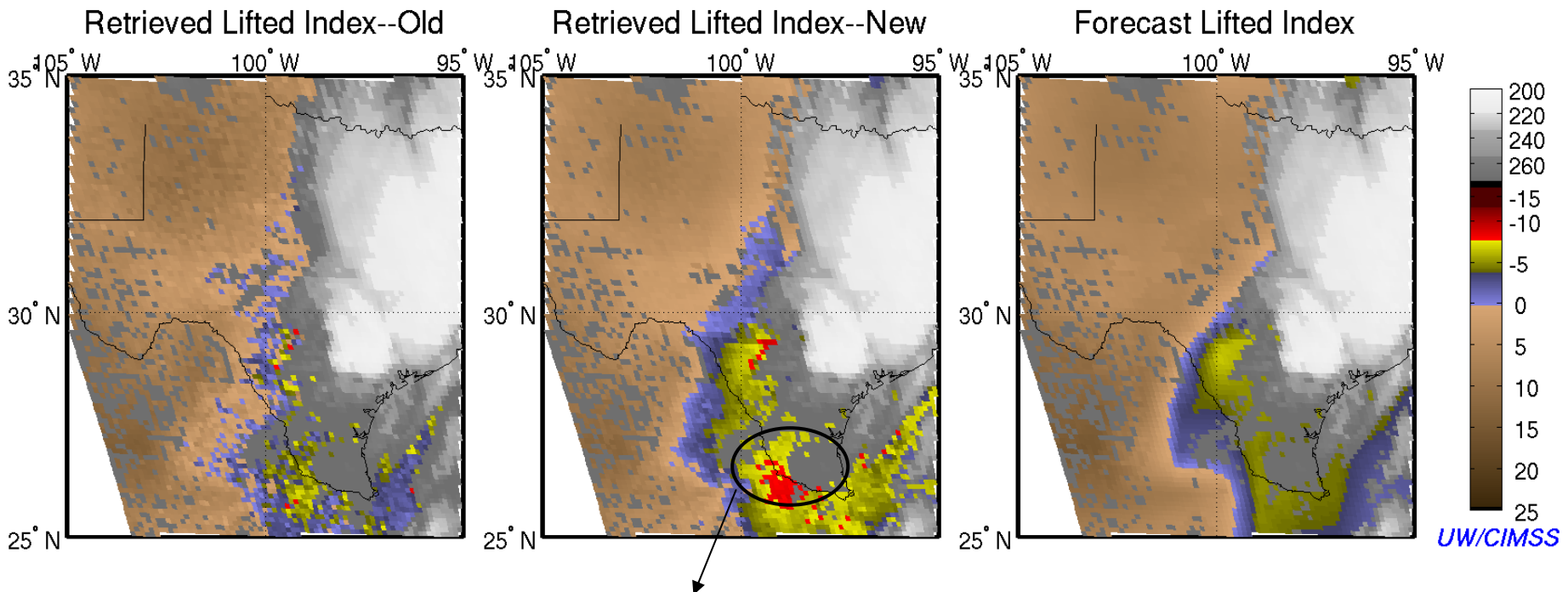
A lot of areas were covered by clouds. The area of large instabilities began decreasing. The storm began dying out.

09 UTC

25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas



These instabilities were too far away from the storm. The storm kept dying out.

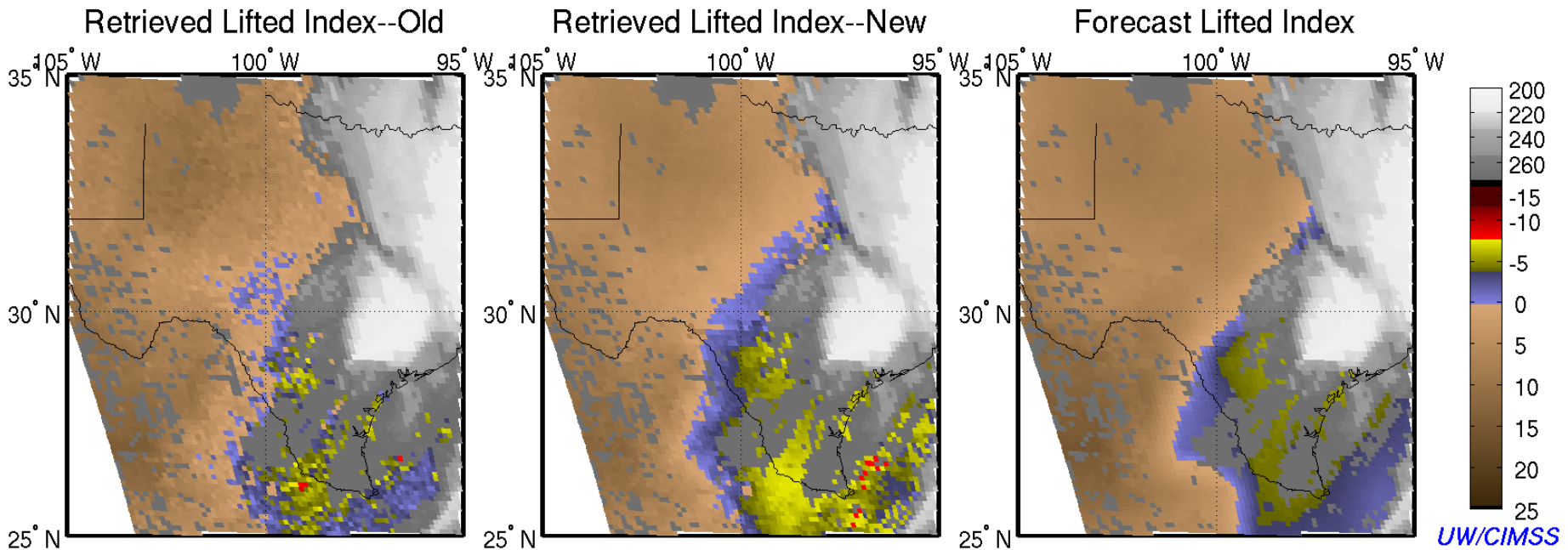
10 UTC

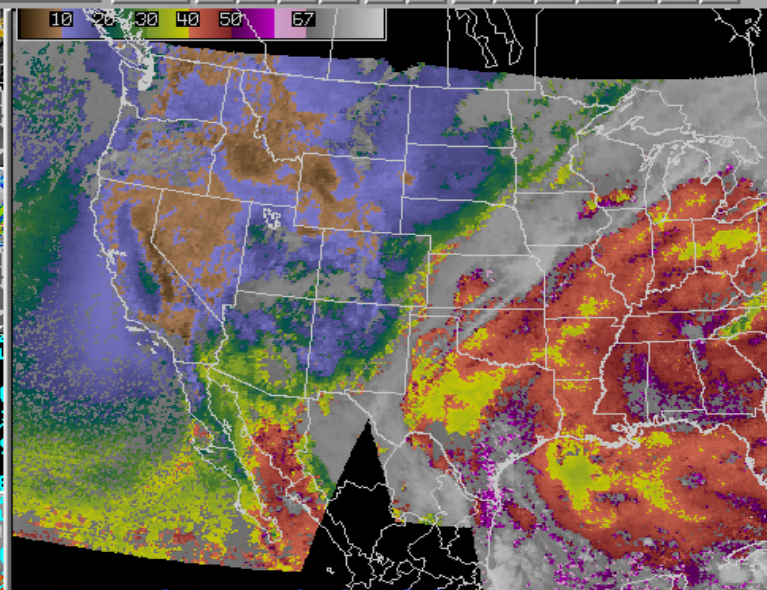
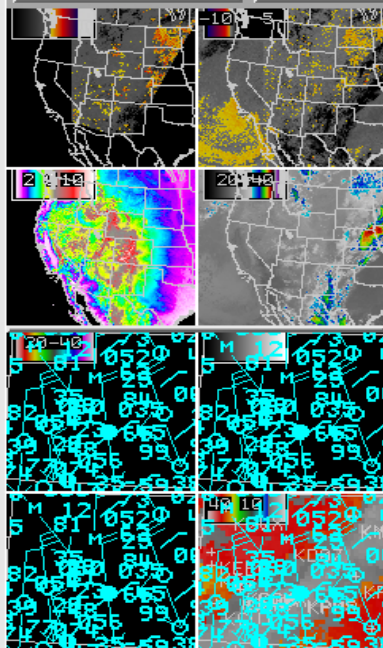
25 April 2007

Case 1, a tornadic supercell

Eagle Pass, Texas

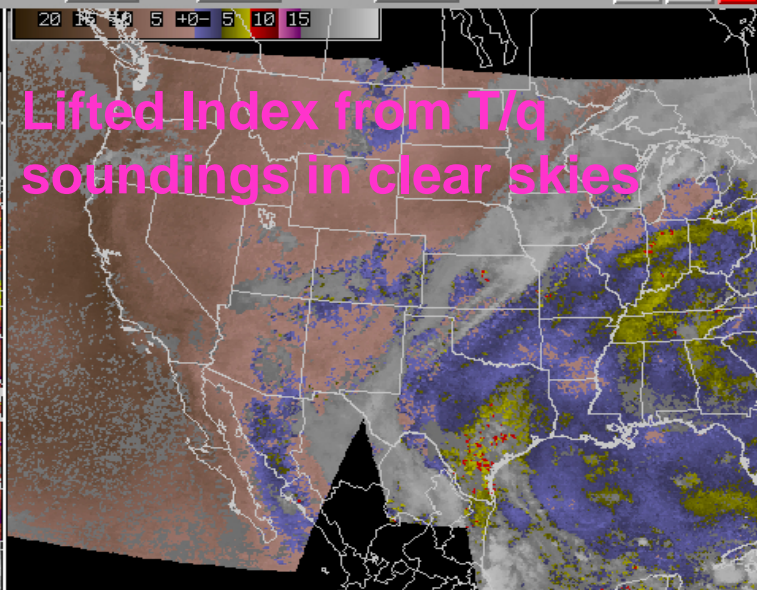
All storms were gone!!!





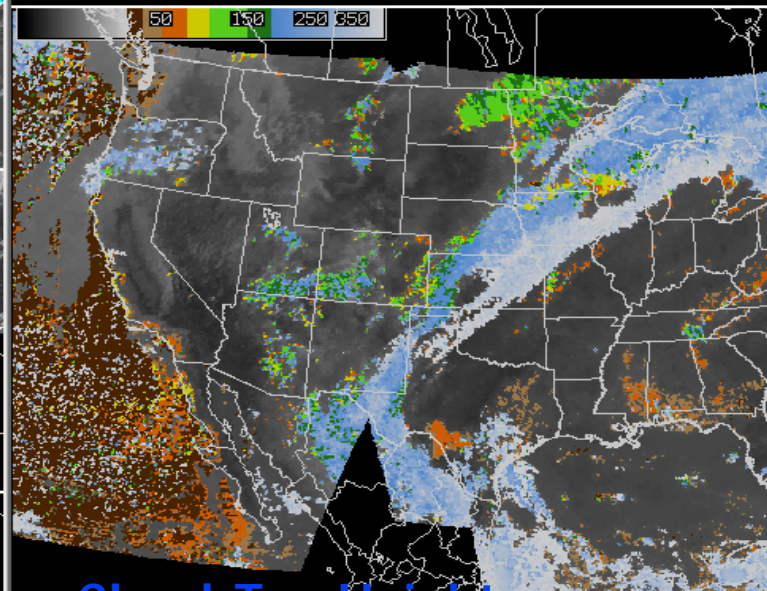
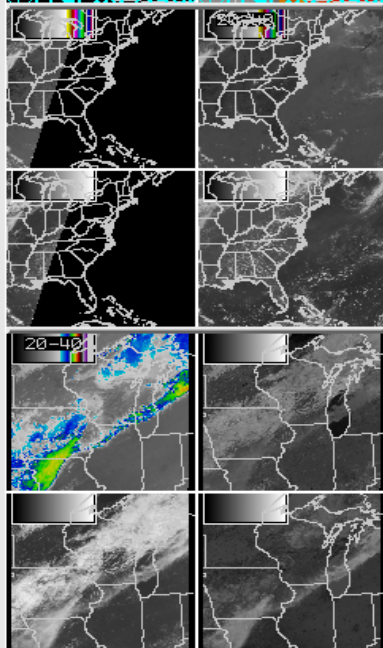
Total Precipitable Water

GOES Sounder DPI Total Precip Water (mm) Wed 16:00Z 02-Aug-06



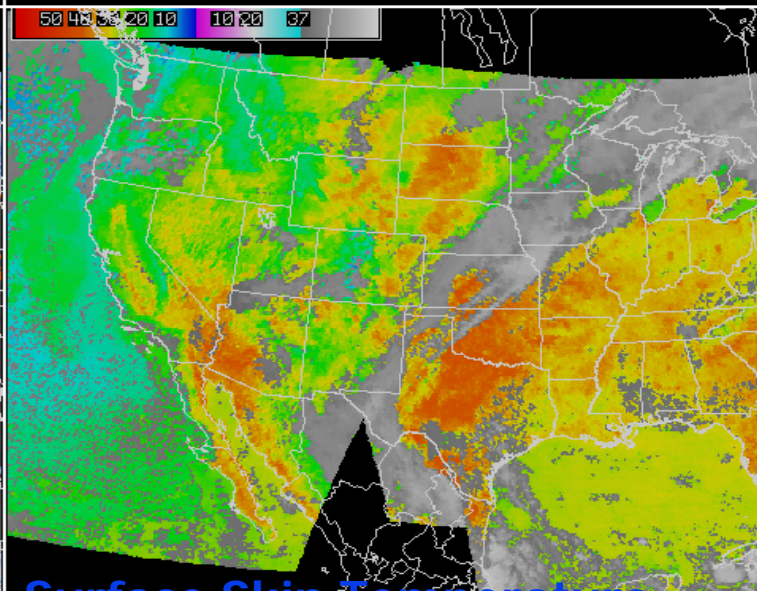
Lifted Index from T/q soundings in clear skies

GOES Sounder DPI Lifted Index (C) Wed 16:00Z 02-Aug-06



Cloud-Top Height

GOES Sounder DPI Cloud Top Height (ft/100 MSL) Wed 16:00Z 02-Aug-06



Surface Skin Temperature

GOES Sounder DPI Skin Temperature (C) Wed 16:00Z 02-Aug-06

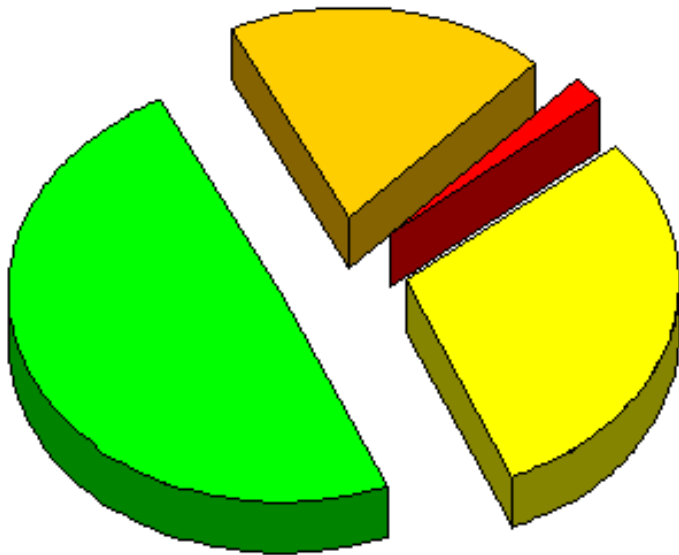
Legacy products and operational applications

<i>GOES Sounder/ABI Profile Related Legacy Products</i>	<i>Operational Use within NWS</i>
Layer & Total Precipitable Water	Assimilation into NCEP operational regional & global NWP models; display and animation within NWS AWIPS for use by forecasters at NWS WFOs & National Centers in forecasting precipitation and severe weather
Surface skin temperature	Image display and animation within NWS AWIPS for use by forecasters at NWS WFOs
Profiles of temp & moisture	Skew-T diagram display within NWS AWIPS for use by forecasters at NWS WFOs in forecasting precipitation and severe weather
Atmospheric stability indices	Image display and animation within NWS AWIPS for use by forecasters at NWS WFOs in forecasting precipitation and severe weather

NWS 1999 Survey: GOES Sounder Atmospheric Instability

NWS Forecaster responses (summer 1999) to:

“Rate the usefulness of LI, CAPE & CINH (changes in time / axes / gradients in the hourly product) for location/timing of thunderstorms.”



 Sig Pos	 Slight Pos
 No Discern	 Slight Neg

There were 248 valid weather cases.

- Significant Positive Impact (30%)
- Slight Positive Impact (49%)
- No Discernible Impact (19%)
- Slight Negative Impact (2%)
- Significant Negative Impact (0)

Selected References

- Li, J., and S. Huang, 2001: Application of improved discrepancy principle in inversion of atmosphere infrared remote sensing, *Science in China (series D)*, 40, 847 – 857.
- Li, J., W. Wolf, W. P. Menzel, W. Zhang, H.-L. Huang, and T. H. Achtor, 2000: Global soundings of the atmosphere from ATOVS measurements: The algorithm and validation, *J. Appl. Meteorol.*, 39: 1248 – 1268.
- Li, J., and H.-L. Huang, 1999: Retrieval of atmospheric profiles from satellite sounder measurements by use of the discrepancy principle, *Appl. Optics*, Vol. 38, No. 6, 916-923.
- Ma, X. L., Schmit, T. J. and W. L. Smith, 1999: A non-linear physical retrieval algorithm – its application to the GOES-8/9 sounder. *J. Appl. Meteor.* 38, 501-513.
- Schmit, T. J., Mathew M. Gunshor, W. Paul Menzel, James J. Gurka, J. Li, and Scott Bachmeier, 2005: Introducing the Next-generation Advanced Baseline Imager (ABI) on GOES-R, *Bull. Amer. Meteorol. Soc.* 86, 1079 – 1096.
- Schmit T. J., W. F. Feltz, W. P. Menzel, J. Jung, A. P. Noel, J. N. Heil, J. P. Nelson III, G. S. Wade, 2002: Validation and use of GOES sounder moisture information, *Wea. Forecasting*, 17, 139-154.
- Seemann, S. W., Li, J., W. Paul Menzel, and L. E. Gumley, 2003: Operational retrieval of atmospheric temperature, moisture, and ozone from MODIS infrared radiances, *J. Appl. Meteorol.* 42, 1072 - 1091.

Summary

- Same improved algorithm can be used for SEVIRI, ABI and GOES Sounder
- Forecast and its error covariance matrix are needed as background information. This is essential for SEVIRI and ABI since only a few “sounding” bands are available
- Handling surface emissivities is very important in sounding process
- Radiance noise filtering is very useful for quality retrievals
- Time continuity should be taken into account in the process, this area needs further investigation
- Geostationary sounding product is very useful for severe storm nowcast